WEBVTT

1 00:00:00.090 --> 00:00:03.060 <v ->For joining our CMIPS seminar.</v> $2\ 00:00:03.060 \longrightarrow 00:00:04.740$ It's really a pleasure to have you all $3\ 00:00:04.740 \longrightarrow 00:00:06.000$ and most importantly, $4\ 00:00:06.000 --> 00:00:09.450$ a pleasure to have Dr. Brian Mittman, $5\ 00:00:09.450 \rightarrow 00:00:11.820$ who we've been talking about bringing over here 6 00:00:11.820 \rightarrow 00:00:15.600 to CMIPS and Yale for quite some time. 7 00:00:15.600 --> 00:00:18.660 Dr. Mittman is a distinguished 8 00:00:18.660 --> 00:00:22.170 longstanding implementation scientist, $9\ 00:00:22.170 \longrightarrow 00:00:24.540$ I might even say one of the founders $10\ 00:00:24.540 \longrightarrow 00:00:28.083$ of implementation science as a formal discipline. 11 00:00:29.700 --> 00:00:33.000 He is a research scientist $12\ 00:00:33.000 \longrightarrow 00:00:35.550$ in the Department of Research and Evaluation $13\ 00:00:35.550 \longrightarrow 00:00:37.350$ with additional affiliations $14\ 00:00:37.350 \longrightarrow 00:00:40.410$ at the US Department of Veteran Affairs, $15\ 00:00:40.410 \longrightarrow 00:00:43.800$ which is another place where a huge amount 16 $00:00:43.800 \rightarrow 00:00:47.130$ of some of the best implementation science, $17\ 00:00:47.130 \longrightarrow 00:00:50.310$ thinking and research has emanated over the years, $18\ 00:00:50.310 \longrightarrow 00:00:52.650$ the University of Southern California 19 00:00:52.650 $\rightarrow 00:00:55.620$ and the University of California Los Angeles, $20\ 00:00:55.620 \longrightarrow 00:00:56.490$ where he co-leads $21\ 00:00:56.490 \longrightarrow 00:01:01.200$ the UCLA Clinical and Translational Science Institute 22 00:01:01.200 --> 00:01:04.710 Implementation and Improvement Science Initiative.

23 00:01:04.710 --> 00:01:06.840 And I find that very interesting

24 00:01:06.840 --> 00:01:09.870 in that the implementation and improvement science

 $25\ 00:01:09.870 \longrightarrow 00:01:11.490$ are linked in the same name,

 $26\ 00{:}01{:}11.490 \dashrightarrow 00{:}01{:}15.270$ which is also something we at CMIPS are very interested

27 00:01:15.270 --> 00:01:19.917 in the kind of continuum between implementation science

 $28\ 00{:}01{:}19{.}917 \dashrightarrow 00{:}01{:}22{.}500$ and improvement science, quality improvement,

 $29\ 00:01:22.500 \longrightarrow 00:01:24.900$ and what are the commonalities and differences

 $30\ 00:01:24.900 \longrightarrow 00:01:28.380$ and where does one end and the other begin.

31 00:01:28.380 --> 00:01:29.370 So I don't know if that's something

32 00:01:29.370 --> 00:01:32.163 Dr. Mittman is gonna touch upon in his talk today.

33 00:01:33.060 --> 00:01:34.830 He chaired the planning committee

34 00:01:34.830 --> 00:01:37.500 that launched the journal, "Implementation Science,"

 $35\ 00:01:37.500 \longrightarrow 00:01:40.080$ which now has a sort of spinoff journal,

36 00:01:40.080 --> 00:01:43.140 I forget its name, but now there's two of them,

 $37\ 00{:}01{:}43.140 \dashrightarrow 00{:}01{:}46.050$ and served as co-editor in chief of that journal

38 00:01:46.050 --> 00:01:49.590 from 2005 to 2012.

 $39\ 00:01:49.590 \longrightarrow 00:01:50.820$ He was a founding member

40 00:01:50.820 --> 00:01:53.190 of the US Institute of Medicine Forum

41 00:01:53.190 --> 00:01:57.690 on the science of quality improvement and implementation

42 00:01:57.690 --> 00:02:00.390 and chair at the National Institutes of Health

43 00:02:00.390 --> 00:02:02.670 Special Emphasis Panel

 $44\,00{:}02{:}02{.}670$ --> $00{:}02{:}05{.}430$ on Dissemination and Implementation Research in Health

45 00:02:05.430 --> 00:02:07.940 in 2007 and 2010.

 $46\ 00:02:07.940 \longrightarrow 00:02:10.830$ And for those of us in the audience

47 00:02:10.830 --> 00:02:14.160 who are thinking about NIH grants,

 $48\ 00:02:14.160 \longrightarrow 00:02:17.491$ what I've very recently learned is now that

49 00:02:17.491 -> 00:02:19.650 the DNI panel, as we call it,

 $50\ 00:02:19.650 \longrightarrow 00:02:21.360$ has been renamed.

51 00:02:21.360 --> 00:02:23.190 Maybe Dr. Mittman knows the name,

52 00:02:23.190 --> 00:02:25.290 I don't remember the name,

 $53\ 00:02:25.290 \longrightarrow 00:02:28.443$ and maybe even there's multiple ones of it now.

54 00:02:29.280 --> 00:02:30.330 But if you're interested,

55 00:02:30.330 --> 00:02:32.490 maybe write to me later and we can figure that out

 $56\ 00:02:32.490 \longrightarrow 00:02:33.750$ because it's very important

57 00:02:33.750 --> 00:02:37.740 for our implementation dissemination science applications

58 00:02:37.740 --> 00:02:39.720 to NIH here at Yale.

59 00:02:39.720 --> 00:02:41.040 Dr. Mittman directed

60 00:02:41.040 --> 00:02:44.340 the VA's Quality Enhancement Research Initiative

61 00:02:44.340 --> 00:02:47.100 from 2002 to 2004.

 $62\ 00:02:47.100 \longrightarrow 00:02:50.130$ His research examines innovative approaches

63 00:02:50.130 $-\!\!>$ 00:02:52.740 to healthcare delivery and improvement

 $64~00{:}02{:}52{.}740$ --> $00{:}02{:}55{.}830$ and efforts to strengthen learning healthcare systems,

 $65~00{:}02{:}55{.}830 \dashrightarrow 00{:}02{:}59{.}490$ another area in which we're very interested in CMIPS

 $66\ 00:02:59.490 \longrightarrow 00:03:01.890$ and many others at Yale are as well.

67 00:03:01.890 --> 00:03:04.920 So today, Dr. Mittman is gonna talk to us

68 00:03:04.920 --> 00:03:08.040 about Addressing Heterogeneity and Adaptability

 $69\ 00:03:08.040 \longrightarrow 00:03:10.380$ and Multi-Component Implementation

 $70\ 00:03:10.380 \longrightarrow 00:03:12.360$ and HIV Interventions:

71 00:03:12.360 --> 00:03:14.640 Emerging Frameworks for Research

 $72\ 00:03:14.640 \longrightarrow 00:03:16.380$ on Complex Health Interventions.

73 00:03:16.380 --> 00:03:18.510 And actually, I wanted to say one thing

74 00:03:18.510 --> 00:03:20.100 before I turn it over to him.

75 00:03:20.100 --> 00:03:21.940 He also serves as a consultant

76 00:03:23.531 --> 00:03:25.110 for our R3EDI Hub,

 $77\ 00:03:25.110 \longrightarrow 00:03:27.670$ which is a technical support hub

 $78\ 00:03:28.770 \longrightarrow 00:03:30.780$ that supports seven projects

79 $00:03:30.780 \dashrightarrow 00:03:33.870$ devoted to ending the AIDS epidemic

8000:03:33.870 --> 00:03:38.280 under a general coordinating center based in Illinois.

81 00:03:38.280 \rightarrow 00:03:40.140 And it's been a pleasure to have Brian

 $82\ 00:03:40.140 \longrightarrow 00:03:42.720$ as a part of our R3EDI Hub team as well.

 $83\ 00:03:42.720 \longrightarrow 00:03:44.970$ So without any further ado now,

84 00:03:44.970 --> 00:03:47.700 I will turn things over to Dr. Mittman.

85 00:03:47.700 --> 00:03:49.500 <v ->Great, thank you, Donna,</v>

86 00:03:49.500 --> 00:03:50.970 both for the kind introduction

87 00:03:50.970 --> 00:03:55.170 as well as more importantly the opportunity to present

 $88\ 00:03:55.170$ --> 00:03:58.811 and to meet with some of your colleagues today and tomorrow.

8900:03:58.811 $-\!\!>$ 00:04:01.173 As we were saying before we started,

90 00:04:02.070 --> 00:04:03.540 my hope is to have the opportunity

91 00:04:03.540 --> 00:04:06.720 to join you in person at some point down the line,

92 00:04:06.720 --> 00:04:08.010 but I know we all share that hope

93 00:04:08.010 --> 00:04:10.560 for lots of in-person gatherings.

94 00:04:10.560 --> 00:04:13.350 You touched on several of my favorite topics,

 $95\ 00:04:13.350$ --> 00:04:16.590 including implementation science, improvement science.

96 00:04:16.590 --> 00:04:19.050 I'll mention that I think very briefly

97 00:04:19.050 --> 00:04:21.450 as well as other topics.

98 00:04:21.450 --> 00:04:24.270 And I'm glad to schedule follow-up talks

99 00:04:24.270 --> 00:04:25.710 to speak about them.

100 00:04:25.710 --> 00:04:28.713 One quick comment on some of your kind remarks.

101 00:04:30.030 --> 00:04:32.340 I always counsel junior colleagues

 $102\ 00:04:32.340 \longrightarrow 00:04:35.610$ to pick a very small field that's likely to grow

 $103 \ 00:04:35.610 \longrightarrow 00:04:37.290$ and get in on the ground floor

104 00:04:37.290 --> 00:04:39.210 because it makes you look important.

 $105\ 00{:}04{:}39{.}210$ --> $00{:}04{:}42{.}660$ That's sort of the big fish, small pond kind of idea.

106 00:04:42.660 --> 00:04:46.200 But also, the fact that I spend much more of my time

 $107\ 00:04:46.200 \longrightarrow 00:04:48.670$ advocating and helping to develop and expand

 $108\ 00:04:49.730 \longrightarrow 00:04:53.760$ fields that I'm interested in sometimes

 $109\ 00:04:53.760 \longrightarrow 00:04:55.290$ rather than actually doing the research,

110 00:04:55.290 --> 00:04:58.020 although I do have a research portfolio.

111 00:04:58.020 --> 00:05:00.210 So implementation science is a field

112 00:05:00.210 --> 00:05:04.710 that I was able to, again, get in on the ground floor

 $113\ 00:05:04.710 \longrightarrow 00:05:06.810$ and help to wave the flag,

114 00:05:06.810 \rightarrow 00:05:09.990 promote interest and advocate at NIH,

 $115\ 00:05:09.990 \longrightarrow 00:05:12.540$ at PCORI and many other places.

116 $00{:}05{:}12.540 \dashrightarrow 00{:}05{:}14.905$ And used to spend a lot of time

117 00:05:14.905 --> 00:05:16.920 on the freeways in Los Angeles

118 00:05:16.920 --> 00:05:19.890 traveling between different facilities and institutions

 $119\ 00:05:19.890 \longrightarrow 00:05:21.690$ as well as in planes trying to,

120 00:05:21.690 --> 00:05:24.060 again, advocate and promote interest

 $121\ 00:05:24.060 \longrightarrow 00:05:25.890$ in implementation science.

 $122\ 00:05:25.890 \longrightarrow 00:05:27.510$ But the implementation science field,

 $123\ 00:05:27.510 \longrightarrow 00:05:30.270$ in my view, is well established.

 $124\ 00:05:30.270 \longrightarrow 00:05:33.390$ There are many of us who are interested

 $125\ 00:05:33.390 \longrightarrow 00:05:35.430$ and have active research portfolios.

126 00:05:35.430 --> 00:05:37.470 I don't know that I have that much to offer at this point

 $127\ 00:05:37.470 \longrightarrow 00:05:39.480$ as far as new ideas,

128 00:05:39.480 --> 00:05:41.370 but I have a different view about the field

129 00:05:41.370 --> 00:05:43.140 of complex health interventions

130 00:05:43.140 --> 00:05:47.490 where I think there is a need to continue to think hard

131 00:05:47.490 --> 00:05:50.430 and promote some of the newer emerging frameworks

 $132\ 00:05:50.430 \longrightarrow 00:05:53.940$ and point out that as researchers are tasked

133 $00:05:53.940 \rightarrow 00:05:56.390$ in studying complex health interventions

134 00:05:56.390 --> 00:05:57.870 is a bit different from our tasks

 $135\ 00:05:57.870 \longrightarrow 00:05:59.970$ and studying other kinds of interventions.

136 $00{:}06{:}02{.}670 \dashrightarrow 00{:}06{:}05{.}880$ I think my most important focus lately in my research

137 00:06:05.880 --> 00:06:08.700 is trying to help, again, advocate

138 00:06:08.700 --> 00:06:12.360 and share information on some of these emerging frameworks

 $139\ 00:06:12.360 \longrightarrow 00:06:15.120$ and encourage more development.

140 00:06:15.120 --> 00:06:17.790 One more comment in terms of the truth in advertising.

141 00:06:17.790 --> 00:06:19.900 I actually won't spend much time at all

142 00:06:21.030 --> 00:06:23.460 talking about specific implementation science

143 00:06:23.460 --> 00:06:26.400 or HIV/AIDS intervention examples,

 $144\ 00:06:26.400 \longrightarrow 00:06:28.050$ but I think it'll be very clear

145 00:06:28.050 --> 00:06:31.230 as to how and why the comments that I will make

146 00:06:31.230 --> 00:06:35.340 are directly relevant to both of those bodies of activity.

147 00:06:35.340 --> 00:06:36.990 So let me move on

148 00:06:36.990 --> 00:06:41.130 and I try to remember which button allows me to advance.

 $149\ 00:06:41.130 \longrightarrow 00:06:44.217$ So let me start with a very high-level question,

 $150\ 00:06:44.217 \longrightarrow 00:06:46.890$ and that is ask us all to think a little bit

151 00:06:46.890 --> 00:06:49.650 about what we as researchers do

 $152\ 00{:}06{:}49.650$ --> $00{:}06{:}53.970$ in addition to producing scientific generalizable knowledge,

 $153\ 00:06:53.970 \dashrightarrow 00:06:56.940$ what we do to support policy decision makers

 $154\ 00:06:56.940 \longrightarrow 00:06:59.190$ and practice decision makers questions.

 $155\ 00{:}06{:}59{.}190 \dashrightarrow 00{:}07{:}02{.}520$ And much of the research that's conducted in medical schools

156 $00{:}07{:}02.520 \dashrightarrow 00{:}07{:}05.190$ and on other health-related institutions

 $157\ 00:07:05.190 \longrightarrow 00:07:06.960$ pursues these questions.

 $158\ 00:07:06.960 \longrightarrow 00:07:09.090$ Does it work or is it effective?

159 00:07:09.090 --> 00:07:10.710 The FDA of course would like to know

 $160\ 00:07:10.710 \longrightarrow 00:07:12.570$ if a new drug should be approved.

161 00:07:12.570 --> 00:07:14.310 CMS and others would like to know

 $162\ 00:07:14.310 \longrightarrow 00:07:16.800$ if it should be funded and promoted.

 $163\ 00:07:16.800 \longrightarrow 00:07:18.810$ Should it even be mandated?

 $164\ 00:07:18.810 \longrightarrow 00:07:19.800$ Within health systems,

165 00:07:19.800 --> 00:07:21.900 P&T committees have decisions to make

166 00:07:21.900 --> 00:07:25.680 about inclusion of new drugs in a formulary.

167 00:07:25.680 --> 00:07:28.980 And frontline practicing clinicians need to know

 $168\ 00:07:28.980 \longrightarrow 00:07:31.200$ whether they should use a new drug

 $169\ 00:07:31.200 \longrightarrow 00:07:32.640$ or another intervention.

 $170\ 00:07:32.640 \longrightarrow 00:07:36.360$ So much of the questions here

 $171\ 00:07:36.360 \longrightarrow 00:07:39.780$ in the guidance that we endeavor to provide

172 00:07:39.780 --> 00:07:43.440 to our policy and practice decision maker colleagues

 $173\ 00:07:43.440 \longrightarrow 00:07:45.900$ is a set of answers to these questions.

 $174\ 00:07:45.900 \longrightarrow 00:07:46.740$ Does it work?

 $175\ 00:07:46.740 \longrightarrow 00:07:48.090$ Is it effective?

176 00:07:48.090 --> 00:07:51.360 Or in the case of comparative effectiveness research,

 $177\ 00:07:51.360 \longrightarrow 00:07:53.820$ is intervention A better than B?

178 00:07:53.820 --> 00:07:57.330 And of course, we focus on outcomes and impacts

 $179\ 00:07:57.330 \longrightarrow 00:08:00.660$ when we try to answer this yes/no question.

 $180\ 00:08:00.660 \longrightarrow 00:08:02.940$ We often have the sample size and the funding

181 $00:08:02.940 \rightarrow 00:08:05.880$ and the ability to examine heterogeneity

 $182\ 00:08:05.880 \longrightarrow 00:08:08.520$ and subgroup effects and so on,

183 $00{:}08{:}08{.}520 \dashrightarrow 00{:}08{:}10{.}050$ and whether contextual factors

184 00:08:10.050 $\operatorname{-->}$ 00:08:12.660 influence the effects and outcomes.

185 00:08:12.660 --> 00:08:17.550 And of course, our gold standard research method of RCTs

186 $00{:}08{:}17.550 \dashrightarrow 00{:}08{:}19.500$ and similar experimental methods

187 00:08:19.500 --> 00:08:22.290 where we randomize and measure outcome differences,

188 00:08:22.290 --> 00:08:25.650 that's how we go about conducting this research.

189 $00{:}08{:}25.650 \dashrightarrow 00{:}08{:}28.890$ But again, the focus is on impact and outcomes.

190 $00:08:28.890 \dashrightarrow 00:08:32.670$ Are the outcomes better for those in the intervention group

 $191\ 00:08:32.670 \longrightarrow 00:08:33.960$ versus the control group?

 $192\ 00:08:33.960 \longrightarrow 00:08:35.700$ And if the answer is yes,

193 00:08:35.700 --> 00:08:37.950 then the intervention is effective,

 $194\ 00:08:37.950 \longrightarrow 00:08:39.450$ it's approved by the FDA,

195 00:08:39.450 --> 00:08:42.903 it's promoted, it's reimbursed and it's used.

196 $00{:}08{:}43{.}860 \dashrightarrow 00{:}08{:}48{.}210$ And there are in fact many examples of magic bullets

 $197\ 00:08:48.210 \longrightarrow 00:08:51.420$ or very strong robustly effective drugs

198 00:08:51.420 --> 00:08:54.480 for which we can produce a clear answer to that question.

 $199\ 00:08:54.480 \longrightarrow 00:08:56.700$ Yes, this drug is very effective.

200 00:08:56.700 --> 00:08:58.230 Precision medicine, of course,

201 00:08:58.230 --> 00:09:01.980 is leading us down the path for drugs and interventions

202 $00:09:01.980 \dashrightarrow 00:09:04.110$ for which there isn't a clear answer

 $203\ 00:09:04.110 \longrightarrow 00:09:07.470$ where there are high levels of heterogeneity.

204 $00{:}09{:}07{.}470 \dashrightarrow 00{:}09{:}10{.}950$ And we do need to tailor the interventions

 $205\ 00:09:10.950 \longrightarrow 00:09:13.563$ and that's really the theme of this talk.

206 $00{:}09{:}14.460 \dashrightarrow 00{:}09{:}16.010$ When we think about complex interventions

207 00:09:16.010 --> 00:09:17.910 or complex health interventions,

 $208\ 00:09:17.910$ --> 00:09:20.190 and I'll define them more formally in a minute, 209 00:09:20.190 --> 00:09:24.900 but health promotion programs, HIV/AIDS prevention,

210 00:09:24.900 --> 00:09:28.920 treatment programs, implementation strategies,

 $211\ 00:09:28.920 \longrightarrow 00:09:32.100$ there are some examples of highly robust,

 $212\ 00:09:32.100 \rightarrow 00:09:34.290$ highly effective complex health interventions

 $213\ 00:09:34.290 \longrightarrow 00:09:38.010$ for which we can produce a strong answer.

214 00:09:38.010 \rightarrow 00:09:40.770 Yes, this intervention tends to be effective

 $215\ 00:09:40.770 \longrightarrow 00:09:43.059$ across multiple settings

 $216\ 00:09:43.059 \longrightarrow 00:09:46.140$ and in multiple sets of circumstances.

217 00:09:46.140 --> 00:09:48.780 But by and large, for most complex health interventions,

 $218\ 00:09:48.780 \longrightarrow 00:09:51.390$ when we ask the question, is it effective?

219 $00:09:51.390 \dashrightarrow 00:09:53.280$ The answer that comes out of our research

220 00:09:53.280 --> 00:09:55.470 is sometimes or it depends.

221 $00:09:55.470 \longrightarrow 00:09:56.520$ The heterogeneity,

222 00:09:56.520 --> 00:09:59.370 I'll sometimes use the term extreme heterogeneity,

223 00:09:59.370 --> 00:10:04.370 is just so great that the impacts vary considerably

224 00:10:04.530 --> 00:10:07.290 and it's impossible to produce a simple answer,

225 00:10:07.290 --> 00:10:10.830 yes or no, it is effective or it's not effective.

226 $00{:}10{:}10{.}830 \dashrightarrow 00{:}10{:}14{.}430$ So there is no formal established definition

 $227\ 00:10:14.430 \longrightarrow 00:10:16.650$ of complex health interventions at this point,

 $228\ 00:10:16.650 \longrightarrow 00:10:18.960$ but here are some of the key features

 $229\ 00{:}10{:}18.960 \dashrightarrow 00{:}10{:}22.380$ that tend to be mentioned in most discussions

230 $00:10:22.380 \longrightarrow 00:10:24.090$ of complex health interventions.

231 00:10:24.090 --> 00:10:26.070 The fact that there are multiple components 232 00:10:26.070 --> 00:10:28.620 and those components interact.

233 00:10:28.620 --> 00:10:31.590 The intervention, the multi-component intervention

 $234\ 00:10:31.590 \longrightarrow 00:10:34.560$ tends to target multiple levels,

 $235\ 00:10:34.560 \longrightarrow 00:10:37.200$ not always, but certainly multiple entities.

 $236\ 00:10:37.200 \longrightarrow 00:10:39.450$ So we have interventions that target patients

 $237\ 00:10:39.450 \longrightarrow 00:10:42.810$ and family caregivers and other peers

238 00:10:42.810 --> 00:10:45.783 as well as clinicians and other health system staff,

 $239\ 00:10:46.620 \longrightarrow 00:10:48.240$ as well as in many cases,

240 00:10:48.240 --> 00:10:51.303 communities and even regulatory levels.

241 00:10:52.380 --> 00:10:55.650 These interventions tend to be highly adaptable.

 $242\ 00:10:55.650 \longrightarrow 00:10:56.730$ They're not fixed.

243 $00{:}10{:}56.730 \dashrightarrow 00{:}10{:}59.880$ So unlike a drug that comes from the factory

244 00:10:59.880 $\rightarrow 00:11:03.046$ in a very consistent chemical formulation

245 00:11:03.046 --> 00:11:06.510 with a high degree of consistency and homogeneity,

246 00:11:06.510 --> 00:11:09.000 these interventions adapt.

247 00:11:09.000 --> 00:11:11.940 And that's the case even when we try to achieve fidelity

 $248\ 00:11:11.940 \longrightarrow 00:11:13.560$ to the manualized intervention

249 00:11:13.560 --> 00:11:17.700 and prevent adaptations and modifications,

 $250\ 00{:}11{:}17{.}700 \dashrightarrow 00{:}11{:}20{.}610$ and that's another theme I'll come back to.

251 00:11:20.610 --> 00:11:22.110 Because of all of these features,

 $252\ 00:11:22.110 \longrightarrow 00:11:25.110$ the interventions tend to achieve their effects

253 00:11:25.110 --> 00:11:26.820 through multiple pathways

 $254\ 00:11:26.820 \longrightarrow 00:11:28.620$ and they tend to be mediated.

255 00:11:28.620 --> 00:11:31.200 So it's not a drug that has a direct impact

 $256\ 00:11:31.200 \longrightarrow 00:11:32.970$ on a physiologic process,

257 00:11:32.970 --> 00:11:34.770 but instead an intervention

 $258\ 00:11:34.770 \longrightarrow 00:11:38.040$ that changes attitudes or beliefs,

 $259\ 00:11:38.040 \longrightarrow 00:11:40.170$ those changes in attitudes and beliefs

 $260\ 00:11:40.170 \longrightarrow 00:11:42.780$ lead to changes in knowledge and intentions.

261 00:11:42.780 \rightarrow 00:11:44.610 Those changes in knowledge and intentions

262 00:11:44.610 --> 00:11:46.950 eventually lead to changes in behavior.

 $263\ 00{:}11{:}46.950 \dashrightarrow 00{:}11{:}50.130$ But those behaviors are influenced by multiple factors.

 $264\ 00:11:50.130 \longrightarrow 00:11:52.560$ So it's not only the patient's own beliefs $265\ 00:11:52.560 \longrightarrow 00:11:54.270$ and knowledge and attitudes, 266 00:11:54.270 --> 00:11:57.123 but peer influence, clinician influence, 267 00:11:57.990 --> 00:12:00.330 social influence from key opinion leaders $268\ 00:12:00.330 \longrightarrow 00:12:01.380$ and a number of others. $269\ 00:12:01.380 \longrightarrow 00:12:04.530$ So the causal pathways tend to be very complex $270\ 00:12:04.530$ --> 00:12:07.080 and I'll illustrate that in a few minutes. $271\ 00:12:07.080 \longrightarrow 00:12:09.390$ So when we think about a comparison 272 00:12:09.390 --> 00:12:12.330 between simple interventions like drugs 273 00:12:12.330 --> 00:12:14.070 versus complex interventions, $274\ 00:12:14.070 \longrightarrow 00:12:16.387$ these are some of the key dimensions $275\ 00:12:16.387 \longrightarrow 00:12:18.480$ where there are differences. $276\ 00:12:18.480 \longrightarrow 00:12:20.820$ The difference between a single fixed $277\ 00:12:20.820 \longrightarrow 00:12:23.790$ and highly stable and homogeneous drug $278\ 00:12:23.790 \longrightarrow 00:12:27.750$ that targets a single stable physiologic process $279\ 00:12:27.750 \longrightarrow 00:12:31.680$ to achieve a simple goal such as reducing blood pressure $280\ 00:12:31.680 \rightarrow 00:12:35.670$ in patients that are not always homogeneous. $281\ 00:12:35.670 \longrightarrow 00:12:37.140$ There are differences, $282\ 00:12:37.140 \longrightarrow 00:12:40.230$ but the argument is that patients, 283 00:12:40.230 --> 00:12:42.360 despite genetic profile differences $284\ 00:12:42.360 \longrightarrow 00:12:43.740$ and other physiologic, $285\ 00:12:43.740 \longrightarrow 00:12:46.980$ as well as clearly socioeconomic status 286 00:12:46.980 --> 00:12:51.570 in neighborhood and contextual differences, $287\ 00:12:51.570 \rightarrow 00:12:53.940$ those differences tend to be somewhat smaller $288\ 00:12:53.940 \longrightarrow 00:12:55.260$ than the differences we see 289 00:12:55.260 --> 00:12:57.753 across communities and organizations. 290 00:12:58.620 --> 00:13:00.360 And again, we can argue that point, $291\ 00:13:00.360 \longrightarrow 00:13:02.730$ but these are the key distinctions $292\ 00:13:02.730 \longrightarrow 00:13:05.610$ between these two categories of interventions. 293 00:13:05.610 --> 00:13:07.230 And the consequences, of course,

 $294\ 00:13:07.230 \longrightarrow 00:13:09.723$ or the implications for research are that,

295 00:13:10.620 --> 00:13:12.930 when we study drugs, oftentimes,

296 00:13:12.930 --> 00:13:15.330 not always, but oftentimes we do see

297 00:13:15.330 --> 00:13:18.180 a relatively high level of homogeneity

 $298\ 00:13:18.180 \longrightarrow 00:13:20.820$ with very consistent and often strong,

 $299\ 00:13:20.820 \longrightarrow 00:13:23.040$ easily detected main effects.

 $300\ 00:13:23.040 \longrightarrow 00:13:25.170$ Whereas again, with complex interventions,

 $301\ 00:13:25.170 \longrightarrow 00:13:26.850$ we get the answer along the lines

 $302\ 00:13:26.850 \longrightarrow 00:13:28.950$ of it depends or sometimes.

303 00:13:28.950 --> 00:13:32.580 We see lots of complexity, instability and heterogeneity.

 $304\ 00:13:32.580 \longrightarrow 00:13:33.990$ And the average effects,

305 00:13:33.990 --> 00:13:36.693 because of the heterogeneity, tend to be very weak.

 $306\ 00{:}13{:}37{.}680$ --> $00{:}13{:}40{.}230$ We have many subjects or targets in the intervention

 $307\ 00:13:40.230 \longrightarrow 00:13:43.110$ that do very well, others that do very poorly, $308\ 00:13:43.110 \longrightarrow 00:13:45.630$ but on average, an average effect size estimates $309\ 00:13:45.630 \longrightarrow 00:13:47.550$ that are close to zero.

310 00:13:47.550 --> 00:13:49.680 One key point, and that is,

311 00:13:49.680 --> 00:13:53.010 this is not a dichotomy, but instead of a continuum.

312 00:13:53.010 --> 00:13:56.370 There are elements of complexity in all interventions.

 $313\ 00:13:56.370 \longrightarrow 00:13:58.110$ The key question is,

 $314\ 00:13:58.110 \longrightarrow 00:14:00.900$ when is an intervention sufficiently complex

 $315\ 00:14:00.900 \longrightarrow 00:14:03.690$ that we can't study it through an RCT

 $316\ 00:14:03.690 \longrightarrow 00:14:06.690$ with a focus on average effect sizes,

317 00:14:06.690 --> 00:14:09.870 but instead need to use the more complex kinds of approaches

318 00:14:09.870 --> 00:14:12.420 that I'll talk about over the next several minutes.

 $319\ 00:14:13.560 \longrightarrow 00:14:15.510$ So getting back to this question,

 $320\ 00:14:15.510 \longrightarrow 00:14:16.767$ does it work, is it effective?

321 00:14:16.767 --> 00:14:19.980 And the answer being sometimes or it depends,

 $322\ 00:14:19.980 \longrightarrow 00:14:21.000$ that answer, of course,

 $323\ 00:14:21.000 \longrightarrow 00:14:23.160$ is not at all useful for decision makers.

 $324\ 00:14:23.160 \longrightarrow 00:14:25.050$ So we need to think about a different way

325 00:14:25.050 --> 00:14:27.630 of designing, conducting our studies

 $326\ 00:14:27.630 \longrightarrow 00:14:29.520$ and a different type of evidence

327 00:14:29.520 --> 00:14:32.790 or a set of insights and findings

 $328\ 00:14:32.790 \longrightarrow 00:14:35.550$ that we need to produce for science,

 $329\ 00:14:35.550 \longrightarrow 00:14:38.250$ but also for policy and practice.

 $330\ 00:14:38.250 \longrightarrow 00:14:40.080$ So let me back up and illustrate

331 00:14:40.080 --> 00:14:41.700 some of the challenges that we face

 $332\ 00{:}14{:}41.700$ --> $00{:}14{:}43.590$ when we deal with complex health interventions.

333 00:14:43.590 --> 00:14:47.190 So this is a pattern of results from a hypothetical study

334 00:14:47.190 --> 00:14:50.412 that could be a guideline implementation study.

 $335\ 00:14:50.412 \longrightarrow 00:14:53.310$ We are attempting to improve adherence

336 00:14:53.310 --> 00:14:56.250 to evidence-based clinical practice guidelines.

 $337\ 00:14:56.250 \longrightarrow 00:15:00.450$ In the blue sample,

 $338\ 00:15:00.450 \longrightarrow 00:15:02.340$ the blue bars in this histogram

 $339\ 00:15:02.340 \longrightarrow 00:15:04.860$ shows that all of the sites

 $340\ 00:15:04.860 \longrightarrow 00:15:07.500$ in the intervention group did very well.

341 00:15:07.500 --> 00:15:09.120 Our intervention managed

 $342\ 00:15:09.120 \longrightarrow 00:15:12.150$ to significantly improve rates of adherence

 $343\ 00:15:12.150 \longrightarrow 00:15:14.160$ among all the intervention physicians

 $344\ 00:15:14.160 \longrightarrow 00:15:16.380$ or clinics or hospitals,

 $345\ 00:15:16.380 \longrightarrow 00:15:19.440$ whereas the sites in the yellow or light green

346 00:15:19.440 --> 00:15:22.500 are all scattered around zero.

347 00:15:22.500 --> 00:15:25.920 So on average, we saw no change in adherence levels

348 00:15:25.920 $\rightarrow 00:15:29.640$ among the usual care comparison sites,

349 00:15:29.640 --> 00:15:31.560 although some of course did better and some did worse.

350 00:15:31.560 --> 00:15:34.860 It's just because of random variation.

 $351\ 00:15:34.860 \longrightarrow 00:15:37.440$ I don't know that we've ever seen findings

 $352\ 00:15:37.440 \longrightarrow 00:15:39.120$ from any implementation study

 $353\ 00:15:39.120 \longrightarrow 00:15:42.330$ that resembled this kind of pattern

 $354\ 00:15:42.330 \longrightarrow 00:15:43.830$ or anything close to it.

355 00:15:43.830 --> 00:15:46.320 This clearly would be "New England Journal"

356 00:15:46.320 --> 00:15:49.170 or "Lancet" caliber work

357 00:15:49.170 --> 00:15:51.450 if we had a strong finding of this sort,

358 00:15:51.450 --> 00:15:53.910 but that's what we would hope to see with our interventions,

 $359\ 00:15:53.910 \longrightarrow 00:15:56.880$ that we would find or design an intervention

 $360\ 00:15:56.880 \longrightarrow 00:16:01.440$ and see very robust, very significant effects.

 $361\ 00:16:01.440 \longrightarrow 00:16:03.180$ This is what we tend to see more often

 $362\ 00:16:03.180 \longrightarrow 00:16:05.160$ when we study complex health interventions.

 $363\ 00:16:05.160 \longrightarrow 00:16:06.840$ There's almost complete overlap

364 00:16:06.840 --> 00:16:10.950 between the blue and the light green yellow sites.

365 00:16:10.950 --> 00:16:13.050 If you are an intervention site,

 $366\ 00:16:13.050 \longrightarrow 00:16:16.290$ you are almost as likely to show

 $367\ 00:16:16.290 \longrightarrow 00:16:17.640$ reduced rates of adherence

368 00:16:17.640 --> 00:16:19.140 as you are increases.

369 00:16:19.140 --> 00:16:21.960 And similarly, the usual care sites,

370 00:16:21.960 --> 00:16:24.450 many of them did show rates of improvement

371 00:16:24.450 --> 00:16:27.750 that are comparable to those in the intervention site.

372 00:16:27.750 --> 00:16:30.303 So when you have a pattern of results like this,

373 00:16:31.620 --> 00:16:34.110 you can't say to decision makers,

374 00:16:34.110 --> 00:16:35.760 my complex health intervention,

375 00:16:35.760 --> 00:16:38.340 my HIV/AIDS prevention program

 $376\ 00:16:38.340 \longrightarrow 00:16:40.350$ or my implementation strategy

377 00:16:40.350 --> 00:16:43.320 or quality improvement program is highly effective,

 $378\ 00:16:43.320 \longrightarrow 00:16:44.793$ I would advise you to use it.

379 00:16:45.634 --> 00:16:46.890 As a decision maker,

380 00:16:46.890 --> 00:16:48.510 if I know I'm almost likely

 $381\ 00:16:48.510 \longrightarrow 00:16:50.370$ to end up spending a lot of money

 $382\ 00:16:50.370 \longrightarrow 00:16:53.520$ and staff time and disruption

 $383\ 00:16:53.520 \longrightarrow 00:16:56.490$ and end up with decreased performance,

384 00:16:56.490 --> 00:16:58.920 obviously, I'm not going to be interested in this program.

 $385\ 00:16:58.920 \longrightarrow 00:17:01.740$ So what is our goal then as a researcher?

386 00:17:01.740 --> 00:17:03.180 Our goal, of course, is to understand

387 00:17:03.180 --> 00:17:06.120 who ended up on the right hand side of this distribution,

388 00:17:06.120 --> 00:17:08.520 what the factors were that led to those improvements

389 00:17:08.520 --> 00:17:11.247 for both intervention as well as control sites,

 $390\ 00:17:11.247 \longrightarrow 00:17:14.460$ and what can we do to counsel decision makers

391 00:17:14.460 --> 00:17:17.550 to allow them to end up on the right hand side

392 00:17:17.550 --> 00:17:20.433 rather than the left hand side of the distribution.

 $393\ 00:17:21.570 \longrightarrow 00:17:24.870$ So when we think about finding

394 00:17:24.870 --> 00:17:27.600 or designing developing complex health interventions

 $395\ 00:17:27.600 \longrightarrow 00:17:28.623$ that are effective,

 $396\ 00:17:29.580 \longrightarrow 00:17:31.410$ one position that we could take

 $397\ 00:17:31.410 \longrightarrow 00:17:34.680$ is our goal as researchers is to develop

 $398\ 00:17:34.680 \longrightarrow 00:17:37.350$ and generate the evidence showing

 $399\ 00:17:37.350 \longrightarrow 00:17:41.220$ that our interventions are highly effective,

400 00:17:41.220 --> 00:17:44.490 but that assumes that those interventions exist.

401 00:17:44.490 --> 00:17:46.623 Hang on one second, I will be right back.

 $402\ 00:17:53.100 \longrightarrow 00:17:54.150 < v \longrightarrow While he's out, </v >$

 $403\ 00:17:54.150 \rightarrow 00:17:57.420$ I can say if people have questions or comments,

404 00:17:57.420 --> 00:17:59.880 why don't you put them in the chat as we go along

 $405\ 00:17:59.880 \longrightarrow 00:18:02.760$ and then at the end of Brian's talk,

406 00:18:02.760 --> 00:18:06.180 I'll pose some of the questions and comments to him.

407 00:18:06.180 --> 00:18:07.860 Go ahead, Brian. <v -> Thank you.</v>

 $408\ 00:18:07.860 \longrightarrow 00:18:09.420$ Yeah, so my apologies.

 $409\ 00:18:09.420 \longrightarrow 00:18:10.290$ For those who joined earlier,

410 00:18:10.290 --> 00:18:12.640 we were talking about the renovations underway.

 $411\ 00:18:13.800 \longrightarrow 00:18:15.330$ My wife was stuck outside

 $412\ 00:18:15.330 \longrightarrow 00:18:17.370$ because I forgot to open the door

 $413\ 00:18:17.370 \longrightarrow 00:18:19.980$ for the second pathway into the kitchen

414 00:18:19.980 --> 00:18:24.980 because the main path is covered with paint paraphernalia.

415 00:18:25.140 --> 00:18:28.860 So again, because complex health interventions

416 00:18:28.860 --> 00:18:30.240 tend not to be robust

 $417\ 00:18:30.240 \longrightarrow 00:18:31.860$ and we tend not to have the ability

 $418\ 00:18:31.860 -> 00:18:35.680$ to find or develop the needle in the haystack

 $419\ 00:18:37.230 \longrightarrow 00:18:38.700$ or they don't exist at all,

 $420\ 00:18:38.700 \longrightarrow 00:18:40.700$ that a robust complex health intervention

 $421\ 00:18:40.700 \longrightarrow 00:18:42.273$ is a mythical beast,

 $422\ 00:18:43.650 \longrightarrow 00:18:46.470$ we need to take a different strategy

423 00:18:46.470 --> 00:18:47.490 and a different approach

424 00:18:47.490 --> 00:18:49.920 in designing and conducting research

 $425\ 00:18:49.920 \longrightarrow 00:18:53.580$ and supporting health decision makers.

 $426\ 00:18:53.580 \longrightarrow 00:18:55.770$ So rather than pursuing questions

427 00:18:55.770 --> 00:18:58.020 such as is it effective or does it work

 $428\ 00:18:58.020 \longrightarrow 00:19:00.060$ or which is more effective,

 $429\ 00:19:00.060 \longrightarrow 00:19:02.490$ we need to be thinking about deriving

 $430\ 00{:}19{:}02.490 \dashrightarrow 00{:}19{:}05.760$ and developing insights and guidance for practice,

431 00:19:05.760 --> 00:19:08.730 such as how does it work, why does it work? 432 00:19:08.730 --> 00:19:09.840 Where, when and for whom,

 $433\ 00:19:09.840 \longrightarrow 00:19:12.960$ the realistic evaluation key questions,

 $434\ 00:19:12.960 \longrightarrow 00:19:15.360$ but also how can we enhance its effectiveness?

435 00:19:15.360 --> 00:19:18.393 Which again gets back to this issue of adaptability.

 $436\ 00:19:19.350 \longrightarrow 00:19:21.210$ We'd have very few degrees of freedom

 $437\ 00:19:21.210 \longrightarrow 00:19:24.060$ to enhance the effectiveness of a drug.

438 00:19:24.060 --> 00:19:25.920 We can obviously titrate the dose

 $439\ 00:19:25.920 \longrightarrow 00:19:29.550$ and we can prescribe supportive interventions,

440 00:19:29.550 --> 00:19:32.493 but we can't modify the chemical formulation of the drug.

441 00:19:33.390 --> 00:19:36.600 We can modify the so-called chemical formulation

442 00:19:36.600 -> 00:19:37.950 of a complex health intervention.

443 00:19:37.950 --> 00:19:40.920 So our goal and our task as researchers

444 00:19:40.920 $\rightarrow 00:19:43.983$ is to guide that tailoring and that adaptation.

 $445\ 00:19:45.030 \longrightarrow 00:19:49.830$ So we should strive to support decision makers

 $446\ 00:19:49.830 \longrightarrow 00:19:52.110$ as they try to answer these questions.

447 00:19:52.110 --> 00:19:55.920 How do I choose an appropriate complex health intervention?

448 00:19:55.920 \rightarrow 00:19:58.380 How do I implement or deploy that program 449 00:19:58.380 \rightarrow 00:20:01.890 and tailor it to increase its effectiveness?

450 00:20:01.890 \rightarrow 00:20:04.980 But also how do I modify or manage the organization?

451 00:20:04.980 --> 00:20:08.610 Oftentimes just as we can improve health outcomes

 $452\ 00:20:08.610 \longrightarrow 00:20:11.670$ by changing diet and exercise

453 00:20:11.670 --> 00:20:15.570 and changing the social surroundings of our patients,

 $454\ 00:20:15.570 \longrightarrow 00:20:18.330$ we can certainly improve outcomes

 $455\ 00:20:18.330 \longrightarrow 00:20:19.950$ for complex health interventions

 $456\ 00:20:19.950 \longrightarrow 00:20:22.110$ by modifying the organization.

457 00:20:22.110 $\rightarrow 00:20:24.930$ So again, another task for researchers.

 $458\ 00:20:24.930 \longrightarrow 00:20:26.790$ But back to the key questions.

459 00:20:26.790 --> 00:20:29.880 We need to understand and develop insights

460 00:20:29.880 --> 00:20:33.090 and provide guidance regarding how, when, why

461 00:20:33.090 --> 00:20:35.070 and where do these interventions work

 $462\ 00{:}20{:}35{.}070$ --> $00{:}20{:}38{.}013$ and how can we modify them to make them work.

463 00:20:39.210 --> 00:20:44.210 So the focus here instead of on impact in simply asking,

464 00:20:44.370 --> 00:20:46.110 does intervention A produce

465 00:20:46.110 --> 00:20:48.990 a greater impact or outcome than intervention B,

 $466\ 00:20:48.990 \longrightarrow 00:20:52.170$ we need to instead focus on the black box.

467 00:20:52.170 --> 00:20:55.080 We need to understand the mediators and the moderators,

 $468\ 00:20:55.080 \longrightarrow 00:20:56.910$ the mechanisms of effect.

469 00:20:56.910 --> 00:20:59.700 We need to explicitly study adaptation

 $470\ 00:20:59.700 \longrightarrow 00:21:02.190$ and we need to study context

 $471\ 00:21:02.190 \longrightarrow 00:21:03.540$ and how to manage context.

472 00:21:03.540 --> 00:21:07.110 So again, another point related to the key theme

473 00:21:07.110 --> 00:21:09.870 of different types of research,

 $474\ 00:21:09.870 \longrightarrow 00:21:11.670$ not a focus on measuring impact,

475 00:21:11.670 --> 00:21:16.503 but instead to focus on understanding and studying process.

 $476~00{:}21{:}17.610 \dashrightarrow 00{:}21{:}22.410$ So again, rather than thinking about evidence based practice 477 00:21:22.410 --> 00:21:26.910 and generating or producing an estimate of effect sizes,

478 00:21:26.910 --> 00:21:30.030 to me, research on complex health interventions

479 00:21:30.030 --> 00:21:31.860 should focus on deriving

 $480\ 00:21:31.860 \longrightarrow 00:21:34.470$ or developing insights and guidance.

481 00:21:34.470 --> 00:21:37.830 So it's insights and guidance rather than evidence

 $482\ 00:21:37.830 \longrightarrow 00:21:40.180$ in the way that we typically think of evidence.

483 00:21:41.730 --> 00:21:44.280 So, getting back to the features

484 00:21:44.280 --> 00:21:46.650 or the characteristics of complex health interventions

 $485\ 00{:}21{:}46.650 \dashrightarrow 00{:}21{:}50.640$ and why they tend to have such weak average effect sizes

 $486\ 00:21:50.640 \longrightarrow 00:21:52.950$ and such extreme heterogeneity,

 $487\ 00:21:52.950 \longrightarrow 00:21:55.159$ we know as I argued that,

488 00:21:55.159 --> 00:21:57.000 or we believe or I would assert

 $489\ 00:21:57.000 \longrightarrow 00:21:59.280$ that the intervention targets and settings

 $490\ 00:21:59.280 \longrightarrow 00:22:01.290$ are much more heterogeneous.

491 00:22:01.290 --> 00:22:04.440 Communities differ, individuals differ,

492 00:22:04.440 --> 00:22:06.860 and the same behavioral approach that we use

493 00:22:06.860 --> 00:22:10.560 or the same implementation strategy for one hospital

 $494\ 00:22:10.560 \longrightarrow 00:22:13.320$ is not likely to be effective

495 00:22:13.320 --> 00:22:16.080 or to work in the same way as in another hospital.

496 $00{:}22{:}16.080 \dashrightarrow 00{:}22{:}19.860$ Differences in hospital leadership and culture

 $497\ 00:22:19.860 \rightarrow 00:22:23.130$ and staffing patterns and resources and so on

498 00:22:23.130 --> 00:22:27.210 all mediate and moderate the effects of the intervention.

 $499\ 00:22:27.210 \longrightarrow 00:22:28.860$ If we think about health psychology

 $500\ 00:22:28.860 \longrightarrow 00:22:30.603$ and patient behavior change,

 $501\ 00:22:30.603 \rightarrow 00:22:32.550$ and one of the topics that we're studying

 $502\ 00{:}22{:}32{.}550$ --> $00{:}22{:}35{.}970$ in Kaiser Southern California, which is HPV vaccination,

503 00:22:35.970 --> 00:22:38.100 we know that clinician brief interventions

 $504~00{:}22{:}38.100 \dashrightarrow 00{:}22{:}41.610$ are likely to be effective for some patients and parents

 $505\ 00:22:41.610 \longrightarrow 00:22:44.340$ who retain respect for their physicians

 $506\ 00:22:44.340 \longrightarrow 00:22:46.710$ and will follow their advice.

 $507\ 00:22:46.710 \longrightarrow 00:22:48.000$ But for other patients,

 $508\ 00:22:48.000 \longrightarrow 00:22:49.620$ that physician brief intervention

 $509\ 00:22:49.620 \longrightarrow 00:22:51.750$ can in fact be counterproductive

510 00:22:51.750 --> 00:22:53.430 because it reinforces a patient's

 $511\ 00:22:53.430 \longrightarrow 00:22:55.440$ or parent's op priori belief

 $512\ 00:22:55.440 \longrightarrow 00:22:57.990$ that these vaccines are poison

 $513\ 00:22:57.990 \longrightarrow 00:23:01.170$ and my physician is sort of an agent

 $514\ 00:23:01.170 \longrightarrow 00:23:05.100$ of the drug company trying to enhance profits.

 $515\ 00:23:05.100 \longrightarrow 00:23:06.990$ So again, lots of heterogeneity

 $516\ 00:23:06.990 \longrightarrow 00:23:09.450$ in the targets in the settings.

 $517\ 00:23:09.450 \longrightarrow 00:23:11.790$ We also know that the underlying pathologies,

 $518\ 00:23:11.790 \longrightarrow 00:23:13.920$ their etiology, their root causes differ.

 $519\ 00:23:13.920 \longrightarrow 00:23:16.683$ And again, the vaccine example is a good one.

 $520\;00{:}23{:}16.683 \ldots > 00{:}23{:}20.130$ When we're dealing with low vaccination rates

521 00:23:20.130 --> 00:23:22.710 in a set of clinics or hospitals

 $522\ 00:23:22.710 \longrightarrow 00:23:25.860$ where patients tend to be respectful

 $523\ 00:23:25.860 \longrightarrow 00:23:28.560$ and responsive to brief interventions,

524 00:23:28.560 --> 00:23:33.090 we can suspect that the reason for low rates of adherence

 $525\ 00:23:33.090 \longrightarrow 00:23:35.250$ don't relate to patient resistance,

526 00:23:35.250 --> 00:23:38.370 but instead, physicians and staff or the systems

 $527\ 00:23:38.370 \longrightarrow 00:23:41.730$ not necessarily optimizing their activities.

528 00:23:41.730 --> 00:23:45.720 Whereas in other parts of Kaiser Southern California,

 $529\ 00:23:45.720 \longrightarrow 00:23:48.060$ we know that the hospitals and the clinics

 $530\ 00:23:48.060 \longrightarrow 00:23:49.800$ and the organizational policies

 $531\ 00{:}23{:}49{.}800 \dashrightarrow 00{:}23{:}52{.}560$ and the clinicians are doing everything in their power

 $532\ 00:23:52.560 \longrightarrow 00:23:55.020$ to improve vaccination rates.

533 00:23:55.020 --> 00:23:56.940 The reason for low vaccination rates

 $534\ 00:23:56.940 \longrightarrow 00:23:59.370$ is patient and parent resistance

 $535\ 00:23:59.370 \longrightarrow 00:24:02.100$ that is tied to their own beliefs.

536 00:24:02.100 --> 00:24:03.737 So understanding differences

537 00:24:03.737 --> 00:24:08.737 in the root causes of low adherence rates

 $538\ 00:24:08.880 \longrightarrow 00:24:10.585$ or quality or outcomes

 $539\ 00:24:10.585 \longrightarrow 00:24:12.870$ or poor patient behavior

540 00:24:12.870 --> 00:24:15.360 and recognizing the heterogeneity,

 $541\ 00:24:15.360 \longrightarrow 00:24:16.377$ again, is important.

 $542\ 00:24:16.377 \longrightarrow 00:24:17.880$ And that's one of the reasons

543 00:24:17.880 $\rightarrow 00:24:21.870$ for the highly variable effects of interventions

 $544\ 00:24:21.870 \longrightarrow 00:24:23.520$ because they sometimes address

 $545\ 00:24:23.520 \longrightarrow 00:24:25.170$ the root causes and solve the problem,

546 00:24:25.170 --> 00:24:28.260 but other times the same intervention does not.

547 00:24:28.260 --> 00:24:29.970 And then finally, as I've said,

548 00:24:29.970 --> 00:24:32.880 the interventions themselves tend to be highly variable

 $549\ 00:24:32.880 \longrightarrow 00:24:34.530$ and irrespective of our efforts

550 00:24:34.530 --> 00:24:37.750 to achieve a
dherence to a manualized intervention

551 00:24:38.640 --> 00:24:41.070 and achieve high rates of fidelity,

552 $00{:}24{:}41.070 \dashrightarrow 00{:}24{:}44.520$ we know that we won't always see that intervention

 $553\ 00:24:44.520 \longrightarrow 00:24:46.683$ be delivered the same way across sites.

554 00:24:47.670 --> 00:24:49.260 There's drift over time,

 $555\ 00:24:49.260 \longrightarrow 00:24:50.760$ there are local adaptations,

 $556\ 00:24:50.760 \longrightarrow 00:24:52.110$ but again, more importantly,

 $557\ 00:24:52.110 \longrightarrow 00:24:55.230$ we shouldn't try to achieve fidelity

558 00:24:55.230 --> 00:24:56.910 because one version of intervention

559 00:24:56.910 --> 00:25:00.150 that does match local circumstances in one setting

 $560\ 00:25:00.150 \longrightarrow 00:25:01.830$ is not likely to be effective

 $561\ 00:25:01.830 \longrightarrow 00:25:04.260$ and match local circumstances elsewhere.

 $562\ 00:25:04.260 \longrightarrow 00:25:08.130$ So the adaptability of interventions,

 $563\ 00:25:08.130 \longrightarrow 00:25:10.530$ their heterogeneity across place,

 $564\ 00:25:10.530 \longrightarrow 00:25:13.470$ but also across time is a challenge.

 $565\ 00:25:13.470 \longrightarrow 00:25:14.970$ But we should view it as a strength

566 00:25:14.970 --> 00:25:18.930 that we need to embrace and use to our advantage.

567 00:25:18.930 --> 00:25:22.290 So some of you who are in my generation or have kids

568 00:25:22.290 --> 00:25:24.060 because I believe this game is still sold,

569 00:25:24.060 --> 00:25:26.860 will recognize the image in the upper right hand corner.

 $570\ 00:25:27.720 \longrightarrow 00:25:29.040$ And this is the way that I often think

 $571\ 00:25:29.040 \longrightarrow 00:25:31.320$ about complex health interventions,

 $572\ 00:25:31.320 \longrightarrow 00:25:34.260$ that if we were to watch the very beginning

 $573\ 00:25:34.260 \longrightarrow 00:25:36.270$ of the mouse trap contraption

 $574\ 00:25:36.270 \longrightarrow 00:25:37.980$ where we drop the marble

 $575\ 00:25:37.980 \longrightarrow 00:25:41.100$ and then focus only on the very end

 $576\ 00:25:41.100 \longrightarrow 00:25:43.203$ and whether the trap falls or not,

 $577\ 00:25:44.040 \longrightarrow 00:25:45.840$ sometimes it will, sometimes it won't.

578 $00:25:45.840 \rightarrow 00:25:48.570$ But that set of empirical observations

579 00:25:48.570 --> 00:25:49.980 doesn't help us at all

580 00:25:49.980 --> 00:25:53.790 in improving the performance of this mouse trap.

581 00:25:53.790 --> 00:25:57.120 We need to follow every step in the causal chain

 $582\ 00:25:57.120 \longrightarrow 00:25:59.310$ and understand which part of the contraption

 $583\ 00:25:59.310 \longrightarrow 00:26:00.720$ was not built correctly

 $584\ 00:26:00.720 \longrightarrow 00:26:02.970$ or where things are going wrong.

 $585\ 00:26:02.970 \longrightarrow 00:26:05.700$ So again, the question is not,

 $586\ 00:26:05.700 \longrightarrow 00:26:07.920$ is it effective, but how does it work?

587 00:26:07.920 --> 00:26:10.770 And we need to shine our spotlight,

588 00:26:10.770 $\rightarrow 00:26:13.290$ our flashlight and our research attention

 $589\ 00:26:13.290 \longrightarrow 00:26:15.510$ in terms of data collection analysis

 $590\ 00:26:15.510 \longrightarrow 00:26:18.241$ on the mechanisms of effect.

 $591\ 00:26:18.241 \longrightarrow 00:26:20.940$ As I've said, we need to,

 $592\ 00{:}26{:}20{.}940$ --> $00{:}26{:}24{.}630$ rather than try to ignore adaptations or suppress them,

 $593\ 00:26:24.630 \longrightarrow 00:26:26.010$ we need to embrace them.

 $594\ 00:26:26.010 \longrightarrow 00:26:29.580$ We need to study and guide those adaptations.

595 00:26:29.580 --> 00:26:31.740 The concept of a manualized intervention,

 $596\ 00:26:31.740 \longrightarrow 00:26:33.660$ I think for a complex health intervention

597 00:26:33.660 --> 00:26:35.490 requires rethinking.

598 00:26:35.490 --> 00:26:37.440 My favorite example here is a story

 $599\ 00:26:37.440 \longrightarrow 00:26:39.330$ that I believe is accurate

 $600\ 00:26:39.330 \longrightarrow 00:26:41.970$ of one of the sites

 $601\ 00:26:41.970 \longrightarrow 00:26:46.740$ in one of the patient self-management studies

 $602 \ 00:26:46.740 \longrightarrow 00:26:51.000$ where the patient self-management program

 $603\ 00:26:51.000 \rightarrow 00:26:54.540$ had a highly detailed manualized intervention,

 $604 \ 00:26:54.540 \longrightarrow 00:26:57.540$ including a very clear script

 $605\ 00{:}26{:}57{.}540$ --> $00{:}27{:}02{.}160$ for the leader of a patient self-management education group

 $606\ 00:27:02.160 \longrightarrow 00:27:06.480$ to use in educating members of the group.

607 00:27:06.480 --> 00:27:09.000 And the story is that members of the study team

608 00:27:09.000 --> 00:27:10.800 were observing a leader

60900:27:10.800 --> 00:27:13.560 deliver the patient self-management program 610 00:27:13.560 --> 00:27:16.122 in an African American church in Baltimore.

61100:27:16.122 --> 00:27:21.090 And the leader of that program was not following the script.

 $612\ 00:27:21.090 \longrightarrow 00:27:24.540$ She was making up the comments

 $613\ 00:27:24.540 \longrightarrow 00:27:27.180$ and the educational content as she went along

 $614\ 00:27:27.180 \longrightarrow 00:27:29.580$ and the research assistants who were observing

 $615\ 00:27:29.580 \longrightarrow 00:27:30.930$ came up to her afterwards

 $616\ 00:27:30.930 \longrightarrow 00:27:33.900$ and congratulated her on a successful session,

617 00:27:33.900 --> 00:27:34.733 but said,

618 00:27:34.733 --> 00:27:37.590 "I noticed that you were deviating from the script.

 $619\ 00:27:37.590 \longrightarrow 00:27:38.580$ Why is that?

 $620\ 00{:}27{:}38{.}580 \dashrightarrow 00{:}27{:}41{.}340$ Don't you know that this is an evidence-based intervention?

 $621 \ 00:27:41.340 \longrightarrow 00:27:42.870$ And if you follow the manual

 $622\ 00:27:42.870 \longrightarrow 00:27:44.730$ and follow the script to the letter,

 $623\ 00:27:44.730 \longrightarrow 00:27:47.070$ you're guaranteed to see positive outcomes,

624 00:27:47.070 --> 00:27:48.990 but if you deviate from it,

625 00:27:48.990 --> 00:27:51.720 we don't know what sort of outcomes you will observe."

 $626\ 00:27:51.720 \longrightarrow 00:27:53.797$ And the leader of the church group said,

627 00:27:53.797 --> 00:27:57.150 "Well, as you know, your manual and your script

628 00:27:57.150 --> 00:27:59.400 was written in Stanford English.

629 00:27:59.400 --> 00:28:00.990 We don't speak Stanford English here.

 $630\ 00:28:00.990 \longrightarrow 00:28:03.690$ So I was using language and concepts

 $631\ 00:28:03.690 \longrightarrow 00:28:04.950$ and ideas and examples

 $632\ 00{:}28{:}04.950$ --> $00{:}28{:}09.150$ that I felt were more suitable for my local circumstances.

633 00:28:09.150 --> 00:28:11.730 So that's a somewhat extreme example, of course,

63400:28:11.730 --> 00:28:14.700 but it does point out that a manualized intervention

635 00:28:14.700 --> 00:28:17.900 typically was developed from a study

636 00:28:17.900 --> 00:28:21.090 at a specific point in time in a specific region,

 $637\ 00:28:21.090 \longrightarrow 00:28:23.280$ in a specific set of settings.

638 00:28:23.280 --> 00:28:26.220 And the details of that intervention

63900:28:26.220 --> 00:28:31.220 might in fact be highly optimal for that particular setting,

 $640\ 00:28:31.350 \longrightarrow 00:28:33.600$ but are not likely to be feasible

 $641\ 00:28:33.600 \longrightarrow 00:28:36.810$ and certainly not optimal for other settings.

642 00:28:36.810 --> 00:28:38.730 So again, we have to rethink the concept

 $643\ 00:28:38.730 \longrightarrow 00:28:40.440$ of manualized interventions.

644 00:28:40.440 --> 00:28:44.100 Similarly, we have to rethink the concept of core components

 $645\ 00:28:44.100 \longrightarrow 00:28:45.360$ and the term, core components,

 $646\ 00:28:45.360 \longrightarrow 00:28:47.550$ that concept is used relatively broadly,

647 00:28:47.550 --> 00:28:52.140 but often
times it talks about the intervention activities,

64800:28:52.140 --> 00:28:55.680 the scripts, the tools, the protocols, the procedures.

649 00:28:55.680 --> 00:28:59.310 And again, those tend to be highly idiosyncratic

 $650\ 00:28:59.310 \longrightarrow 00:29:01.590$ and often optimized and developed

 $651\ 00:29:01.590 \longrightarrow 00:29:06.590$ by and for a specific set of settings

652 00:29:06.690 --> 00:29:08.370 in target audiences.

653 00:29:08.370 --> 00:29:12.690 So the alternative to the concept of core components

 $654\ 00:29:12.690$ --> 00:29:15.720 and that way of thinking about complex health interventions

 $655\ 00{:}29{:}15.720$ --> $00{:}29{:}19.920$ is to specify a set of core functions in a menu of forms.

 $656\ 00:29:19.920 \longrightarrow 00:29:22.500$ And I'll talk through that in a few minutes.

657 00:29:22.500 --> 00:29:25.080 But let me just briefly point out

658 00:29:25.080 --> 00:29:27.690 that in the implementation field,

65900:29:27.690 --> 00:29:30.870 and again using guideline adherence as an example,

 $660\ 00:29:30.870 \longrightarrow 00:29:33.330$ as I said, we often have very complex,

661 00:29:33.330 --> 00:29:35.730 multi-path, mediated

 $662\ 00{:}29{:}35{.}730$ --> $00{:}29{:}40{.}290$ and highly moderated sorts of causal pathways.

663 00:29:40.290 --> 00:29:44.580 And a typical multi-component guideline adherence program

664 00:29:44.580 --> 00:29:47.070 targeting physicians has to worry

 $665\ 00:29:47.070 \longrightarrow 00:29:48.930$ about the physician's attitudes and norms

 $666\ 00:29:48.930 \longrightarrow 00:29:50.340$ and try to address them

 $667\ 00:29:50.340 \longrightarrow 00:29:51.870$ as well as their knowledge and skill,

 $668\ 00{:}29{:}51.870 \dashrightarrow 00{:}29{:}54.270$ as well as their motivation of their activation.

669 00:29:55.200 --> 00:29:58.830 And many of these are influenced

 $670\ 00:29:58.830 \longrightarrow 00:30:02.670$ by, again, multiple mediated pathways,

 $671\ 00:30:02.670$ --> 00:30:06.030 but also some of those causal effects are highly moderated.

672 00:30:06.030 --> 00:30:10.800 We know that a financial incentive to follow the guideline

673 00:30:10.800 --> 00:30:13.500 that consists of a 20,000 bonus

 $674\ 00:30:13.500 \longrightarrow 00:30:16.200$ is likely to be highly effective

675 00:30:16.200 --> 00:30:19.050 for a junior family physician

 $676\ 00:30:19.050 \longrightarrow 00:30:22.440$ with an income in the \$150,000 range.

 $677\ 00:30:22.440 \longrightarrow 00:30:24.600$ But for the senior surgeon

678 00:30:24.600 --> 00:30:28.410 with a multimillion dollar income

679 00:30:28.410 --> 00:30:32.760 who knows how to practice and doesn't need the guidelines,

 $680\ 00:30:32.760 \longrightarrow 00:30:35.250$ that bonus is not likely to have much effect.

681 00:30:35.250 --> 00:30:39.090 So again, highly heterogeneous effects

 $682\ 00{:}30{:}39{.}090$ --> $00{:}30{:}43{.}053$ in complex causal pathways that we need to understand.

 $683\ 00:30:44.070 \longrightarrow 00:30:46.290$ So let me again, as an aside,

 $684\ 00:30:46.290 \longrightarrow 00:30:49.140$ briefly present the PCORI method standards

 $685\ 00:30:49.140 \longrightarrow 00:30:51.420$ for complex health interventions.

686 00:30:51.420 --> 00:30:52.950 I actually won't talk about these,

687 00:30:52.950 --> 00:30:57.480 but there is both a PCORI methodology report

 $688\ 00:30:57.480 \longrightarrow 00:30:59.010$ that provides some supportive detail

 $689\ 00:30:59.010 -> 00:31:02.010$ as well as an article that came out in JGIM

 $690\ 00:31:02.010 \longrightarrow 00:31:03.000$ several months ago

 $691\ 00:31:03.000 \longrightarrow 00:31:06.150$ that discusses each of these in more detail.

69200:31:06.150 --> 00:31:07.860 But it's the issue of core functions

693 00:31:07.860 --> 00:31:11.670 that I wanted to talk about for a bit.

694 00:31:11.670 --> 00:31:13.230 And again, the underlying motivation

695 00:31:13.230 --> 00:31:16.860 is the fact that complex interventions can be adapted.

69600:31:16.860 --> 00:31:20.100 They will be adaptive irrespective of our efforts

 $697\ 00:31:20.100 \longrightarrow 00:31:21.510$ to achieve fidelity,

 $698\ 00:31:21.510 \longrightarrow 00:31:24.540$ but more importantly they should be adapted.

 $699\ 00:31:24.540 \longrightarrow 00:31:27.060$ Now I'll often say adaptation happens.

700 00:31:27.060 --> 00:31:30.090 We should embrace it and study it and ultimately guide it.

701 00:31:30.090 --> 00:31:33.300 We should not be trying to ignore or suppress it.

 $702\ 00:31:33.300 \longrightarrow 00:31:36.660$ So the concepts of core functions and forms

703 00:31:36.660 --> 00:31:38.250 were introduced by Penelope Hall

704 00:31:38.250 --> 00:31:40.830 a good 15 years ago

 $705\ 00:31:40.830 \longrightarrow 00:31:43.440$ without a whole lot of attention

 $706\ 00:31:43.440 \longrightarrow 00:31:46.350$ and follow-up activity in the intervening years

707 00:31:46.350 --> 00:31:47.970 until relatively recently

708 00:31:47.970 --> 00:31:51.180 where researchers who study complex health interventions,

 $709\ 00:31:51.180 \longrightarrow 00:31:52.860$ implementation strategies,

710 $00{:}31{:}52.860 \dashrightarrow 00{:}31{:}55.200$ health promotion programs began to realize

 $711\ 00:31:55.200 \longrightarrow 00:31:57.513$ that they have a lot of relevance and value.

712 00:31:58.440 $\rightarrow 00:32:03.030$ And this is a short list of publications.

 $713\ 00:32:03.030 \longrightarrow 00:32:04.470$ There actually are many more

 $714\ 00:32:04.470 \longrightarrow 00:32:06.630$ just within the last year or two

715 00:32:06.630 --> 00:32:09.693 that have applied concepts of core functions and forms.

716 $00:32:10.650 \rightarrow 00:32:15.650$ So forms are the specific detailed activities.

717 00:32:15.780 --> 00:32:18.510 So if we think about physical activity as a broad category,

718 00:32:18.510 --> 00:32:19.680 walking, running, swimming

719 00:32:19.680 --> 00:32:23.040 are all examples of physical activity.

720 00:32:23.040 --> 00:32:27.360 And the argument is that our manualized intervention

721 00:32:27.360 --> 00:32:30.660 should not specify 20 minutes of walking,

 $722\ 00:32:30.660 \longrightarrow 00:32:33.830$ but instead should specify physical activity.

723 00:32:33.830 --> 00:32:35.700 In the case of patient education,

724 00:32:35.700 --> 00:32:37.710 the underlying core function again

 $725\ 00:32:37.710 \longrightarrow 00:32:40.230$ is to educate patients and their parents.

 $726\ 00:32:40.230 \longrightarrow 00:32:43.830$ The different forms we can use are listed here.

727 00:32:43.830 --> 00:32:45.690 And again, selecting a form

728 00:32:45.690 --> 00:32:50.130 that matches the particular features of the target audience

 $729\ 00:32:50.130 \longrightarrow 00:32:52.560$ is important in increasing fidelity.

 $730\ 00:32:52.560 \longrightarrow 00:32:55.200$ So we should not be providing a script,

731 00:32:55.200 --> 00:32:57.270 a strict script,

732 00:32:57.270 --> 00:33:00.780 but instead laying out the goals of the education

 $733\ 00:33:00.780 \longrightarrow 00:33:03.240$ and providing a menu of different strategies

734 00:33:03.240 --> 00:33:07.683 for achieving those goals through different kinds of forms.

 $735\ 00:33:09.360 \longrightarrow 00:33:12.030$ So I won't spend a lot of time on this,

 $736\ 00:33:12.030 \longrightarrow 00:33:13.530$ but encourage those of you interested

737 00:33:13.530 --> 00:33:15.180 to both look at the articles

 $738\ 00:33:15.180 \longrightarrow 00:33:17.100$ as well as these slides in more detail.

 $739\ 00:33:17.100 \longrightarrow 00:33:20.910$ But the general approach that we advocate

740 00:33:20.910 --> 00:33:25.590 is to think about, again, a set of core functions

741 00:33:25.590 --> 00:33:29.160 and think through all the different kinds of forms

742 00:33:29.160 --> 00:33:30.660 that might be available

743 $00:33:30.660 \rightarrow 00:33:33.630$ to operationalize those core functions.

744 00:33:33.630 --> 00:33:36.330 And then, also think about how to decide

 $745\ 00:33:36.330 \longrightarrow 00:33:38.370$ which form to select,

 $746\ 00:33:38.370 \longrightarrow 00:33:40.080$ and that's the purpose of research.

 $747\ 00:33:40.080 \longrightarrow 00:33:41.910$ In addition to identifying

 $748\ 00:33:41.910 \longrightarrow 00:33:43.890$ and describing the core functions,

 $749\ 00:33:43.890 \longrightarrow 00:33:47.700$ also to provide guidance for the local tailoring,

750 00:33:47.700 --> 00:33:51.723 which item from the menu is optimal for particular setting.

 $751\ 00:33:52.950 \longrightarrow 00:33:55.050$ Now we know that if we think only

752 00:33:55.050 --> 00:33:57.870 about core components or activities,

 $753\ 00:33:57.870 \longrightarrow 00:34:01.560$ we often can go down the route

 $754\ 00:34:01.560 \longrightarrow 00:34:04.020$ of modifying those forms or components

 $755\ 00:34:04.020 \longrightarrow 00:34:06.450$ in what appears to be a very minor way,

 $756\ 00:34:06.450 \longrightarrow 00:34:09.300$ but in fact completely eliminate

757 00:34:09.300 --> 00:34:11.070 achievement in one of the core functions.

758 00:34:11.070 --> 00:34:15.780 So if you think about drug detailing or academic detailing,

759 00:34:15.780 $\rightarrow 00:34:18.450$ that academic detailing interaction

 $760\ 00{:}34{:}18.450 \dashrightarrow> 00{:}34{:}21.930$ conveys information and education and knowledge,

761 $00:34:21.930 \rightarrow 00:34:23.730$ but also conveys professional norms.

762 00:34:23.730 --> 00:34:26.040 So that's an activity or a form

763 00:34:26.040 --> 00:34:30.210 that actually operationalizes two core functions.

764 00:34:30.210 \rightarrow 00:34:32.190 Audit and feedback is another example.

765 00:34:32.190 --> 00:34:34.950 Audit and feedback conveys information,

766 $00:34:34.950 \rightarrow 00:34:37.350$ but it also conveys professional norms

 $767\ 00:34:37.350 \longrightarrow 00:34:39.330$ and leadership expectations.

768 00:34:39.330 --> 00:34:43.170 And if you're focused only on the information function,

769 00:34:43.170 --> 00:34:44.610 you could easily decide,

770 $00{:}34{:}44{.}610$ --> $00{:}34{:}47{.}580$ rather than convey the audit and feedback information

771 00:34:47.580 --> 00:34:50.070 in a departmental meeting,

 $772\ 00:34:50.070 \longrightarrow 00:34:52.830$ to convey that information via memo.

773 00:34:52.830 --> 00:34:56.970 If you do that, you weaken the professional norm function.

 $774\,00{:}34{:}56{.}970$ --> $00{:}34{:}59{.}460$ One of the advantages of an audit and feedback session

 $775\ 00:34:59.460 \longrightarrow 00:35:01.890$ that's conducted in the departmental meeting

 $776\ 00:35:01.890 \longrightarrow 00:35:03.570$ is the physicians have an opportunity

 $777\ 00:35:03.570 \longrightarrow 00:35:05.970$ to talk about the guideline,

778 00:35:05.970 --> 00:35:08.040 the performance metrics or benchmarks

 $779\ 00:35:08.040 \longrightarrow 00:35:09.963$ and the variation of performance,

 $780\ 00:35:10.980 \longrightarrow 00:35:13.140$ and ideally help convince each other

781 $00:35:13.140 \rightarrow 00:35:15.420$ that maybe there is room for improvement.

782 00:35:15.420 --> 00:35:19.380 Whereas if you receive your own performance via a memo,

783 00:35:19.380 --> 00:35:23.010 you're not likely to be influenced in quite the same way.

784 00:35:23.010 --> 00:35:24.240 One final example,

 $785\ 00:35:24.240 \rightarrow 00:35:26.850$ and that is quality improvement collaboratives

 $786\ 00:35:26.850$ --> 00:35:30.510 where we know that having a multidisciplinary team

787 00:35:30.510 --> 00:35:32.790 and ensuring that the collaborative that's focusing,

788 00:35:32.790 --> 00:35:37.497 for example, on high contamination rates

789 00:35:40.350 $\rightarrow 00:35:42.060$ in the OR,

790 00:35:42.060 --> 00:35:45.420 that the QI team needs not only surgeons and nurses,

791 $00{:}35{:}45{.}420 \dashrightarrow 00{:}35{:}47{.}530$ but also members of the house keeping staff

792 00:35:49.410 \rightarrow 00:35:52.200 because they collectively will develop

793 00:35:52.200 $\rightarrow 00:35:55.080$ a better understanding of the root causes

794 00:35:55.080 $\rightarrow 00:35:57.930$ of those infection rates of contamination

 $795\ 00:35:57.930 \longrightarrow 00:35:59.880$ than the physicians alone.

796 00:35:59.880 --> 00:36:04.200 But the other core function of that multidisciplinary team

 $797\ 00:36:04.200 \longrightarrow 00:36:06.330$ is the focus on acceptability

 $798\ 00:36:06.330 \longrightarrow 00:36:08.520$ of the findings and the recommendations.

799 00:36:08.520 --> 00:36:11.880 If a QI team consisting only of surgeons comes out

 $800\ 00:36:11.880 \longrightarrow 00:36:13.560$ and says that the high infection rates

 $801\;00{:}36{:}13.560 \dashrightarrow 00{:}36{:}16.050$ are due to the fact that the house keeping staff

 $802\ 00:36:16.050 \longrightarrow 00:36:18.360$ are not wiping down the walls properly,

80300:36:18.360 $\operatorname{-->}$ 00:36:20.280 you can be sure that the house keeping staff

 $804\ 00:36:20.280 \longrightarrow 00:36:23.310$ are going to discount that

 $805\ 00{:}36{:}23{.}310$ --> $00{:}36{:}25{.}350$ because they know that they do their job properly

 $806\ 00:36:25.350 \longrightarrow 00:36:27.450$ and in their minds the problem

 $807\ 00:36:27.450 \longrightarrow 00:36:29.430$ is that the hand washing practices

 $808\ 00:36:29.430 \longrightarrow 00:36:32.190$ of the surgeons are deficient.

 $809\ 00:36:32.190 \longrightarrow 00:36:35.160$ So again, it's a single component

810 00:36:35.160 --> 00:36:37.320 or feature of intervention

811 00:36:37.320 --> 00:36:40.080 that operationalizes two different core functions.

 $812\ 00:36:40.080 \longrightarrow 00:36:42.540$ And understanding those core functions

813 00:36:42.540 --> 00:36:44.580 allows us to avoid making mistakes

814 00:36:44.580 --> 00:36:47.670 when we modify the intervention activity

 $815\ 00:36:47.670 \longrightarrow 00:36:49.740$ in a way that we think may be minor,

 $816\ 00:36:49.740 \rightarrow 00:36:54.180$ but again can completely eliminate its ability

81700:36:54.180 --> 00:36:58.500 to successfully carry out one of the core functions.

818 00:36:58.500 --> 00:37:00.540 So I think I've covered each of these already,

 $819\ 00:37:00.540 \longrightarrow 00:37:02.370$ but let me walk through them quickly.

 $820\ 00:37:02.370 \longrightarrow 00:37:04.470$ Again, the way that we typically think

 $821\ 00:37:04.470 \rightarrow 00:37:06.990$ of a manualized intervention is highly detailed,

 $822\ 00{:}37{:}06{.}990 \dashrightarrow > 00{:}37{:}11{.}990$ is in fact more likely to do harm in many cases than value.

823 00:37:13.710 --> 00:37:16.650 C
ore components should be replaced by core functions.

82400:37:16.650 --> 00:37:18.900 There are many implications of that rethinking,

 $825\ 00{:}37{:}18.900 \dashrightarrow 00{:}37{:}22.470$ one of which of course is that a measurement of fidelity

 $826\ 00:37:22.470$ --> 00:37:24.990 is not a measurement of whether you followed the script,

827 00:37:24.990 --> 00:37:28.020 but instead whether you successfully operationalized

828 00:37:28.020 --> 00:37:30.060 or carried out the core function.

 $829\ 00:37:30.060 \longrightarrow 00:37:31.860$ I've already talked about the fact

 $830\ 00:37:31.860 \longrightarrow 00:37:34.590$ that main effect estimates are not very helpful

 $831\ 00:37:34.590 \longrightarrow 00:37:37.050$ in evidence as we typically think of it.

832 00:37:37.050 --> 00:37:38.970 And again, it gets back to the point

 $833\ 00:37:38.970 \longrightarrow 00:37:40.140$ I've made a couple of times

834 00:37:40.140 --> 00:37:44.460 on the need to rethink the purpose of our research.

 $835\ 00:37:44.460$ --> 00:37:46.900 So let me wrap up with just a few more slides $836\ 00:37:47.880$ --> 00:37:51.150 that list some of the kinds of analytic approaches

837 00:37:51.150 --> 00:37:55.140 and research approaches that we need to be leveraging

 $838\ 00:37:55.140 \longrightarrow 00:37:58.470$ in order to, again, shine our flashlight

839 00:37:58.470 --> 00:38:01.440 on the processes and the mechanisms of effect

840 00:38:01.440 --> 00:38:03.101 rather than outcomes.

 $841\ 00:38:03.101 \longrightarrow 00:38:06.300$ These are some of the quantitative methods,

842 00:38:06.300 --> 00:38:10.080 qualitative comparative analysis is becoming more popular.

 $843\ 00:38:10.080 \longrightarrow 00:38:11.010$ There are also, of course,

 $844\ 00:38:11.010 \longrightarrow 00:38:14.160$ a number of qualitative methods as well. $845\ 00:38:14.160 \rightarrow 00:38:17.370$ Process evaluation, theory-based evaluation $846\ 00:38:17.370 \longrightarrow 00:38:19.830$ and the continued emergence $847\ 00:38:19.830 \rightarrow 00:38:24.480$ and illustrations of approaches to adaptation. 848 00:38:24.480 --> 00:38:26.280 Here are some examples of publications $849\ 00:38:26.280 \longrightarrow 00:38:28.720$ that are now quite dated that illustrate $850\ 00:38:29.670 \longrightarrow 00:38:32.130$ and talk about some of these approaches $851\ 00:38:32.130 \longrightarrow 00:38:35.850$ for measuring and taking into account context $852\ 00:38:35.850 \longrightarrow 00:38:38.640$ for examining moderator effects and mediator effects $853\ 00:38:38.640 \longrightarrow 00:38:40.503$ and mechanisms of effect. $854\ 00:38:41.970 \rightarrow 00:38:45.000$ Here's some examples of implementation studies $855\ 00:38:45.000 \rightarrow 00:38:48.690$ that have embraced and studied adaptation $856\ 00:38:48.690 \longrightarrow 00:38:51.063$ rather than suppressing it or ignoring it. 857 00:38:52.020 --> 00:38:55.110 Theory-based evaluation, realistic evaluation, $858\ 00:38:55.110 \longrightarrow 00:38:57.450$ again, are relatively new $859\ 00:38:57.450 \longrightarrow 00:39:00.540$ or underutilized approaches in the qualitative realm $860\ 00:39:00.540 \longrightarrow 00:39:02.913$ to study mechanisms of effect. $861\ 00:39:03.810 \longrightarrow 00:39:05.610$ There's still a lot of development work $862\ 00:39:05.610 \longrightarrow 00:39:07.650$ to be done, in my view, in these methods $863\ 00:39:07.650 \longrightarrow 00:39:10.320$ to get to the level of transparency $864\ 00:39:10.320 \longrightarrow 00:39:13.443$ and reproducibility that we need, $865\ 00:39:14.400 \longrightarrow 00:39:17.250$ but valuable approaches. 866 00:39:17.250 --> 00:39:18.600 And then, if we look outside $867\ 00:39:18.600 \longrightarrow 00:39:21.960$ the typical conventional toolkit $868\ 00:39:21.960 \longrightarrow 00:39:23.250$ to some of the other approaches $869 \ 00:39:23.250 \longrightarrow 00:39:26.250$ such as statistical process control that are implemented, 870 00:39:26.250 --> 00:39:28.980 I'm sorry, our improvement science colleagues

use

 $871\ 00:39:28.980 \longrightarrow 00:39:30.510$ as well as others,

 $872\ 00:39:30.510 \longrightarrow 00:39:33.000$ these represent other approaches.

873 00:39:33.000 --> 00:39:35.370 So just to wrap up,

 $874\ 00:39:35.370 \longrightarrow 00:39:37.620$ when we study complex health interventions,

875 00:39:37.620 --> 00:39:40.830 again, we need to begin by identifying the core functions

 $876\ 00:39:40.830 \longrightarrow 00:39:42.870$ and developing the menu of forms.

877 00:39:42.870 --> 00:39:46.890 Ideally, our research would validate

 $878\ 00:39:46.890 \longrightarrow 00:39:48.180$ our list of core functions

 $879\ 00:39:48.180 \longrightarrow 00:39:49.710$ or allow us to revise it

880 00:39:49.710 --> 00:39:51.420 so that we understand all the functions

 $881\ 00:39:51.420 \longrightarrow 00:39:53.100$ that need to be included

 $882\ 00:39:53.100$ --> 00:39:56.673 and also provide evidence that guides the local tailoring.

883 00:39:58.500 --> 00:40:00.690 It would be documented

 $884\ 00:40:00.690 \longrightarrow 00:40:03.900$ in a set of adaptation or tailoring algorithms.

 $885\ 00{:}40{:}03{.}900$ --> $00{:}40{:}07{.}110$ And the bottom line, again, is a goal of understanding

886 00:40:07.110 --> 00:40:09.720 how complex interventions achieve their effects

 $887\ 00:40:09.720 \longrightarrow 00:40:11.490$ and how to modify them

 $888\ 00:40:11.490 \longrightarrow 00:40:13.500$ rather than pursuing the simpler question

 $889\ 00:40:13.500 \longrightarrow 00:40:15.990$ of whether they are effective.

 $890\ 00:40:15.990 \longrightarrow 00:40:18.540$ So I will stop there and open up

 $891\ 00:40:18.540 \longrightarrow 00:40:22.590$ for what I hope will be some robust discussion

 $892\ 00:40:22.590 \longrightarrow 00:40:24.573$ and comments and questions.

893 00:40:29.580 --> 00:40:30.753 <v ->Thanks, Brian.</v>

894 00:40:31.770 --> 00:40:35.580 That was a really kind of interesting and important talk

 $895\ 00:40:35.580 \longrightarrow 00:40:37.440$ at sort of the cutting edge

 $896\ 00:40:37.440 \longrightarrow 00:40:40.650$ of where implementation science is today,

 $897\ 00:40:40.650 \longrightarrow 00:40:43.470$ and with a lot of information packed in

 $898\ 00:40:43.470 \longrightarrow 00:40:46.170$ and concepts and things like that

899 00:40:46.170 --> 00:40:47.310 for us to think about

 $900\ 00{:}40{:}47{.}310$ --> $00{:}40{:}50{.}820$ and potentially absorb into our own work.

901 00:40:50.820 --> 00:40:55.170 So maybe I might start with this first question

902 00:40:55.170 --> 00:40:56.120 which is...

903 00:40:57.030 --> 00:40:59.550 Actually, I'll even integrate something else

 $904\ 00:40:59.550 \longrightarrow 00:41:01.380$ that probably is worth both of us mentioning,

905 00:41:01.380 --> 00:41:04.710 which is that Brian is actually the co-founder and director

 $906\ 00:41:04.710 \longrightarrow 00:41:06.630$ of the Multilevel Training Institute

907 00:41:06.630 --> 00:41:10.800 that's offered every year in collaboration with NCI.

908 00:41:10.800 --> 00:41:12.990 And Raul Hernandez-Ramirez,

909 00:41:12.990 --> 00:41:15.510 who's one of our CMIPS primary faculty

910 00:41:15.510 --> 00:41:17.310 is a graduate of that institute.

911 00:41:17.310 --> 00:41:19.800 And I'm actually one of the instructors

912 00:41:19.800 --> 00:41:24.800 teaching about the analysis of multilevel interventions.

 $913\ 00:41:24.930 \longrightarrow 00:41:27.150$ But the question is,

914 00:41:27.150 --> 00:41:29.850 my thought and I think a lot of us out here think

 $915\ 00:41:29.850 \longrightarrow 00:41:31.020$ that the reason,

 $916\ 00:41:31.020 \longrightarrow 00:41:33.540$ sort of the opposite of what you said,

 $917\ 00:41:33.540 \longrightarrow 00:41:36.300$ that the reason we like multilevel interventions

 $918\ 00:41:36.300 \rightarrow 00:41:39.240$ is because it seemed like the medical model

919 00:41:39.240 --> 00:41:41.190 of isolating one,

920 00:41:41.190 --> 00:41:43.950 like what we would've said before in the past component

921 00:41:43.950 --> 00:41:47.070 and maybe right now you might say function 922 00:41:47.070 --> 00:41:50.910 and studying it and holding everything else constant,

 $923\ 00:41:50.910 \longrightarrow 00:41:53.430$ these sorts of implementation studies

 $924\ 00:41:53.430 \longrightarrow 00:41:55.020$ have been disappointing.

 $925\ 00:41:55.020 \rightarrow 00:41:58.590$ And so, the thought was that actually,

926 00:41:58.590 --> 00:42:01.020 first of all, it's totally unrealistic in real life. 927 00:42:01.020 --> 00:42:03.000 You don't just have one thing. 928 00:42:03.000 --> 00:42:05.970 All of these public health interventions are complex, $929\ 00:42:05.970 \longrightarrow 00:42:08.220$ whether we choose to study them or not. $930\ 00:42:08.220 \longrightarrow 00:42:11.070$ And so, the idea then evolved $931\ 00:42:11.070 \longrightarrow 00:42:13.920$ to that it might make sense $932\ 00:42:13.920 \longrightarrow 00:42:16.320$ to intervene on an entire, $933\ 00:42:16.320 \longrightarrow 00:42:18.360$ sometimes we might say package of components, 934 00:42:18.360 --> 00:42:20.520 which now you're kind of redefining $935\ 00:42:20.520 \longrightarrow 00:42:22.563$ as package of forms maybe. 936 00:42:24.480 --> 00:42:26.100 Or maybe it's package of functions $937\ 00:42:26.100 \longrightarrow 00:42:29.250$ and then the forms are the specific ways $938\ 00:42:29.250 \longrightarrow 00:42:30.810$ that the functions are ways $939\ 00:42:30.810 \longrightarrow 00:42:32.760$ that functions can be implemented. 940 00:42:32.760 --> 00:42:35.070 If I caught all of that very quickly, I think I did, 941 00:42:35.070 --> 00:42:36.300 and I think we're somewhat familiar $942\ 00:42:36.300 \longrightarrow 00:42:38.910$ with this idea in our center as well. 943 00:42:38.910 $\rightarrow 00:42:41.460$ So that would strengthen $944\ 00:42:41.460 \rightarrow 00:42:44.850$ the ability to see an impactful intervention 945 00:42:44.850 --> 00:42:47.910 and allow us to translate 946 $00:42:47.910 \rightarrow 00:42:52.910$ into practice evidence-based interventions as a whole. 947 00:42:53.160 --> 00:42:54.300 But now you're saying 948 00:42:54.300 --> 00:42:57.060 that because of the adaptations 949 00:42:57.060 $\rightarrow 00:42:59.250$ and the variability and the heterogeneity, $950\ 00:42:59.250 \longrightarrow 00:43:02.310$ actually these kinds of approaches also $951\ 00:43:02.310 \longrightarrow 00:43:03.690$ are giving weak results. 952 00:43:03.690 --> 00:43:07.230 So I'm just wondering if you can comment on that.

953 00:43:07.230 --> 00:43:08.250 <v ->Sure.</v>

954 00:43:08.250 --> 00:43:11.442 No, I would concur with everything that you've said

 $955\ 00:43:11.442 \longrightarrow 00:43:13.833$ and I think the main point is that,

956 00:43:14.670 --> 00:43:17.730 it's really that the argument that one size doesn't fit all.

957 00:43:17.730 --> 00:43:20.220 But to begin with, I do agree that,

958 00:43:20.220 --> 00:43:22.410 for most of these kinds of problems,

959 00:43:22.410 --> 00:43:24.840 the barriers are multi-component and multi-level,

 $960\ 00:43:24.840 \longrightarrow 00:43:26.580$ and we definitely need multi-level,

961 00:43:26.580 --> 00:43:29.970 multi-component complex health interventions.

962 00:43:29.970 --> 00:43:32.027 The simple example goes back to the studies 963 00:43:32.027 --> 00:43:36.050 in the 1970s and 1980s of CME

964 00:43:36.050 --> 00:43:38.610 as a method for improving physician practices. 965 00:43:38.610 --> 00:43:41.250 And the dominant finding from that body of

966 00:43:41.250 --> 00:43:44.400 was physician knowledge and education changed

 $967\ 00:43:44.400 \rightarrow 00:43:46.590$ and sometimes physician attitudes changed,

 $968\ 00:43:46.590 \longrightarrow 00:43:48.630$ but practices didn't change at all

 $969\ 00:43:48.630 \longrightarrow 00:43:50.610$ because the practices are held in place

 $970\ 00:43:50.610 \longrightarrow 00:43:52.440$ by multiple barriers.

research

971 00:43:52.440 --> 00:43:54.210 And if you don't provide the equipment

972 00:43:54.210 --> 00:43:56.100 or the staff support or the time

973 00:43:56.100 --> 00:43:59.491 or as I said, work on the patient resistance,

974 00:43:59.491 --> 00:44:02.040 no amount of educating physicians is likely

 $975\ 00:44:02.040 \longrightarrow 00:44:05.040$ to lead to the outcomes that we want.

976 00:44:05.040 --> 00:44:07.170 And in the causal diagram,

977 00:44:07.170 --> 00:44:09.480 the diag that I showed again is an example of that.

978 00:44:09.480 --> 00:44:12.480 If we focus on only one of those causal pathways,

 $979\ 00:44:12.480 \longrightarrow 00:44:14.280$ believe the other's untouched.

980 00:44:14.280 --> 00:44:19.020 So the point though is that we absolutely do need

981 00:44:19.020 --> 00:44:23.130 multi-component, often multi-level interventions.

982 00:44:23.130 --> 00:44:25.977 The issue though is the need to adapt and tailor them

983 00:44:25.977 --> 00:44:28.110 and the same mix of components

984 00:44:28.110 --> 00:44:30.750 or the same mix of forms and activities

 $985\ 00:44:30.750 \longrightarrow 00:44:33.150$ that is highly effective in one setting

 $986\ 00:44:33.150 \longrightarrow 00:44:35.370$ is not likely to be effective elsewhere.

987 00:44:35.370 --> 00:44:38.307 And when we take a complex health intervention

 $988\ 00:44:38.307 \longrightarrow 00:44:41.100$ and we try to scale it and spread it,

989 00:44:41.100 --> 00:44:45.150 or we move from efficacy research to effectiveness research,

 $990\ 00:44:45.150 \longrightarrow 00:44:47.790$ we are often disappointed in the findings.

991 00:44:47.790 $\rightarrow 00:44:51.570$ And that is because of the erroneous belief

992 00:44:51.570 --> 00:44:53.190 that one size fits all

 $993\ 00:44:53.190 \longrightarrow 00:44:55.890$ and that an so-called evidence-based practice

 $994\ 00:44:55.890 \longrightarrow 00:44:57.990$ is going to be evidence-based and robust

 $995\ 00:44:57.990 \longrightarrow 00:45:00.450$ and effective across multiple settings.

996 00:45:00.450 --> 00:45:01.890 It has to be tailored

997 00:45:01.890 --> 00:45:04.440 and we as researchers have to guide that tailoring.

998 00:45:06.690 --> 00:45:08.220 <v ->Thanks.</v>

999 00:45:08.220 --> 00:45:09.390 I have lots of questions,

 $1000 \ 00:45:09.390 \longrightarrow 00:45:11.160$ but I don't wanna hog the time.

 $1001 \ 00:45:11.160 \longrightarrow 00:45:13.503$ So we have lots of people on here.

 $1002\ 00{:}45{:}14.340$ --> $00{:}45{:}16.560$ Do others have any questions they'd like to ask?

1003 00:45:16.560 --> 00:45:19.260 I think you can simply unmute yourself

 $1004 \ 00:45:19.260 \longrightarrow 00:45:20.673$ and ask your question.

1005 00:45:26.250 --> 00:45:28.920 <v ->Sure, thank you for the wonderful talk.</v>

1006 00:45:28.920 --> 00:45:30.450 I am an investigator working a lot

 $1007 \ 00:45:30.450 \longrightarrow 00:45:31.800$ in low and middle income countries

1008 00:45:31.800 --> 00:45:33.810 and I was interested at the beginning of your talk

 $1009\ 00:45:33.810 \longrightarrow 00:45:36.150$ when you were using the term impact.

1010 00:45:36.150 --> 00:45:39.870 I think it's often used as a synonym for effectiveness.

1011 00:45:39.870 --> 00:45:41.760 But I think sometimes with public health

1012 00:45:41.760 --> 00:45:44.250 or even population health interventions,

 $1013\ 00{:}45{:}44.250$ --> $00{:}45{:}46.680$ we're thinking about numbers of people that can be served.

 $1014 \ 00:45:46.680 \longrightarrow 00:45:47.970$ I think this is particularly relevant

 $1015 \ 00:45:47.970 \longrightarrow 00:45:49.620$ if you think about communicable diseases

1016 00:45:49.620 --> 00:45:52.470 because there may be indirect benefits for addressing that.

1017 00:45:52.470 --> 00:45:55.890 And so, I guess that's sort of a very general question,

 $1018 \ 00:45:55.890 \longrightarrow 00:45:57.270$ just curious if you've encountered that,

 $1019 \ 00:45:57.270 \longrightarrow 00:45:59.040$ but maybe the more specific question

 $1020\ 00:45:59.040 \longrightarrow 00:46:00.740$ related to your research would be,

1021 00:46:02.978 --> 00:46:05.220 if volume then is kind of really important

 $1022 \ 00:46:05.220 \longrightarrow 00:46:06.630$ about how we deliver interventions,

 $1023 \ 00:46:06.630 \longrightarrow 00:46:08.970$ how do you think about that

 $1024 \ 00:46:08.970 \longrightarrow 00:46:11.426$ with regard to understanding the fidelity?

1025 00:46:11.426 --> 00:46:14.100 Are we looking at sort of the contextual factors

1026 00:46:14.100 --> 00:46:17.197 related to how many people are served

1027 00:46:17.197 --> 00:46:19.080 maybe when we pilot an intervention,

 $1028 \ 00:46:19.080 \longrightarrow 00:46:21.930$ but when we think about taking it to scale,

 $1029 \ 00:46:21.930 \longrightarrow 00:46:24.150$ what are some of the considerations

1030 00:46:24.150 --> 00:46:26.190 about kind of understanding the impact of volume

 $1031\ 00:46:26.190 \longrightarrow 00:46:28.680$ on fidelity and adaptation?

 $1032 \ 00:46:28.680 \longrightarrow 00:46:29.871$ Thank you so much.

 $1033 \ 00:46:29.871 \longrightarrow 00:46:32.687 < v \longrightarrow Veah$, so first of all, </v>

1034 00:46:32.687 --> 00:46:37.200 I'm a strong fan of the REAM framework

1035 00:46:37.200 --> 00:46:38.100 and I think to think

 $1036 \ 00:46:38.100 \longrightarrow 00:46:40.710$ about the different dimensions of impact

1037 00:46:40.710 --> 00:46:43.800 and how they relate to one another is critically important,

 $1038\ 00:46:43.800 \longrightarrow 00:46:45.570$ that we focus only on effectiveness

 $1039 \ 00:46:45.570 \longrightarrow 00:46:47.550$ and ignore the other issues.

1040 00:46:47.550 --> 00:46:49.560 The ultimate societal impact

 $1041 \ 00:46:49.560 \longrightarrow 00:46:52.860$ that we are seeking will not be seen.

1042 00:46:52.860 --> 00:46:55.950 And I think the heterogeneity

1043 00:46:55.950 --> 00:46:58.988 may apply differently across different outcomes

 $1044 \ 00:46:58.988 \longrightarrow 00:47:00.930$ in the kind of approach that we need

 $1045 \ 00:47:00.930 \longrightarrow 00:47:04.240$ in order to engage a high volume

 $1046\ 00:47:04.240 \longrightarrow 00:47:07.230$ and a high proportion of the target audience

 $1047 \ 00:47:07.230 \longrightarrow 00:47:09.270$ versus the approach that we need to use

 $1048 \ 00:47:09.270 \longrightarrow 00:47:12.030$ to ensure that the intervention is effective

1049 00:47:12.030 --> 00:47:15.720 across a large proportion of the target audience

1050 00:47:15.720 --> 00:47:20.720 which does have its own heterogeneity in subgroups.

 $1051\ 00:47:20.760 \longrightarrow 00:47:22.053$ Those may be different.

1052 00:47:24.810 --> 00:47:26.760 And this may or may not be an answer,

1053 00:47:26.760 --> 00:47:29.910 but at least this is the way that I would think about it,

 $1054 \ 00:47:29.910 \longrightarrow 00:47:32.220$ the vast majority if not all of these studies,

 $1055 \ 00:47:32.220 \longrightarrow 00:47:34.020$ we need to begin with REAM

1056 00:47:34.020 --> 00:47:37.560 and explicitly think about all the different dimensions

1057 00:47:37.560 --> 00:47:42.210 that contribute to the overall impact and outcomes.

1058 00:47:42.210 --> 00:47:45.360 And then, we need to recognize and anticipate

 $1059\ 00:47:45.360 \longrightarrow 00:47:48.870$ and explicitly address the heterogeneity

 $1060\ 00:47:48.870 \longrightarrow 00:47:51.540$ across all of those different dimensions

1061 00:47:51.540 --> 00:47:55.260 and know that as we again scale up and spread

 $1062 \ 00:47:55.260 \longrightarrow 00:47:57.240$ and adapt and tailor interventions

 $1063 \ 00:47:57.240 \longrightarrow 00:48:00.780$ from one setting to another,

1064 00:48:00.780 --> 00:48:04.830 that tailoring and adaptations are likely to be needed

 $1065\ 00{:}48{:}04{.}830$ --> $00{:}48{:}08{.}040$ in different ways and different facets of the intervention

 $1066\ 00:48:08.040 \longrightarrow 00:48:11.620$ in order to ensure that we maximize

 $1067 \ 00:48:12.960 \longrightarrow 00:48:14.250$ outcomes and success

 $1068 \ 00:48:14.250 \longrightarrow 00:48:16.950$ across all the REAM dimensions.

1069 00:48:16.950 --> 00:48:19.530 So again, it's just a very different way

 $1070\ 00:48:19.530 \longrightarrow 00:48:23.310$ of thinking about research and interventions

1071 00:48:23.310 --> 00:48:26.040 compared to the typical evidence-based practice

 $1072\ 00:48:26.040 \longrightarrow 00:48:27.480$ that we develop an intervention,

 $1073\ 00:48:27.480 \longrightarrow 00:48:29.490$ we can describe it very simply

 $1074\ 00:48:29.490 \longrightarrow 00:48:31.980$ and we can deploy it anywhere

 $1075 \ 00:48:31.980 \longrightarrow 00:48:35.340$ and we will see the same kinds of results.

 $1076\ 00:48:35.340 \longrightarrow 00:48:36.300$ And that's not the case,

1077 00:48:36.300 --> 00:48:39.180 both because we can't deploy the interventions

 $1078\ 00:48:39.180 \longrightarrow 00:48:40.680$ as we were designed elsewhere,

 $1079\ 00:48:40.680 \longrightarrow 00:48:42.117$ and that's especially true of course.

1080 00:48:42.117 --> 00:48:45.510 And we take US-designed interventions,

1081 00:48:45.510 $\rightarrow 00:48:48.150$ try to deploy them in low resource settings

 $1082 \ 00:48:48.150 \longrightarrow 00:48:50.100$ within the US and elsewhere,

 $1083 \ 00:48:50.100 \longrightarrow 00:48:51.890$ but even if we could deploy them

 $1084\ 00:48:51.890 \longrightarrow 00:48:54.540$ in the same way and implement them,

 $1085\ 00:48:54.540 \longrightarrow 00:48:56.990$ the effectiveness is likely to vary considerably.

1086 00:49:01.200 --> 00:49:03.600 <v ->Thanks so much, that's really interesting.</v>

1087 00:49:03.600 --> 00:49:05.010 <v -> Okay, thank you.</v>

 $1088 \ 00:49:05.010 \longrightarrow 00:49:05.843$ That was a good answer.

1089 00:49:05.843 --> 00:49:08.040 Luke, do you have a follow-up question?

1090 00:49:08.040 --> 00:49:09.450 <v ->Yeah, I mean I would be curious</v>

 $1091 \ 00:49:09.450 \longrightarrow 00:49:11.767$ maybe just to think a little bit

 $1092\ 00:49:11.767 \longrightarrow 00:49:14.160$ about operationalizing some of these things.

1093 00:49:14.160 --> 00:49:17.730 Obviously, you work with one of the premier organizations

1094 00:49:17.730 --> 00:49:20.383 about thinking about how to answer these questions.

1095 00:49:20.383 --> 00:49:22.770 In terms of Kaiser,

1096 00:49:22.770 --> 00:49:26.070 very large health system, many different units,

1097 00:49:26.070 --> 00:49:27.390 however you'd wanna define those,

1098 00:49:27.390 --> 00:49:31.263 whether those are sites or providers and so on so forth.

1099 00:49:32.310 --> 00:49:34.800 I know I'm just curious

 $1100\ 00:49:34.800 \longrightarrow 00:49:36.210$ about how you think about integrating

1101 00:49:36.210 --> 00:49:38.010 quantitative and qualitative data

 $1102\ 00{:}49{:}38.010$ --> $00{:}49{:}41.010$ with respect to certain types of problems of this nature.

 $1103 \ 00:49:41.010 \longrightarrow 00:49:43.140$ Maybe if there's any examples

1104 00:49:43.140 --> 00:49:46.290 that you might be able to share from your work in Kaiser.

1105 00:49:46.290 --> 00:49:49.350 <v ->So I think that integration is critical.</v>

1106 00:49:49.350 --> 00:49:52.170 And this actually relates to the point that Donna raised

1107 00:49:52.170 --> 00:49:54.090 about implementation science and improvement science.

1108 00:49:54.090 --> 00:49:57.270 So the improvement science folks do accept

 $1109\ 00:49:57.270 \longrightarrow 00:50:01.137$ and anticipate and address the heterogeneity

1110 00:50:01.137 --> 00:50:05.100 and the whole issue of rapid cycle implementation

1111 00:50:05.100 --> 00:50:06.630 and improvement

1112 00:50:06.630 --> 00:50:09.000 where you do something and you sort of see

1113 $00:50:09.000 \rightarrow 00:50:10.980$ what the impacts are and then you refine it.

 $1114\ 00:50:10.980 \longrightarrow 00:50:12.780$ That's a form of tailoring.

 $1115\ 00{:}50{:}12.780 \dashrightarrow 00{:}50{:}15.870$ So I think they do recognize the heterogeneity

 $1116 \ 00:50:15.870 \longrightarrow 00:50:17.730$ and that's one way of dealing with it.

1117 00:50:17.730 --> 00:50:19.830 But I think that rapid cycle evaluation,

1118 00:50:19.830 $\rightarrow 00:50:21.150$ any kind of evaluation,

 $1119\ 00:50:21.150 \longrightarrow 00:50:24.810$ and understanding the mechanisms of effect.

1120 00:50:24.810 --> 00:50:28.560 We can only learn so much through mediation analysis

 $1121\ 00:50:28.560 \longrightarrow 00:50:29.910$ and other quantitative methods.

 $1122\ 00:50:29.910 \longrightarrow 00:50:31.650$ And if our goal is ultimately

1123 00:50:31.650 --> 00:50:34.080 to understand how the world works

 $1124 \ 00:50:34.080 \longrightarrow 00:50:36.480$ and to understand causal pathways

 $1125 \ 00:50:36.480 \longrightarrow 00:50:37.983$ and causal relationships,

1126 00:50:39.390 --> 00:50:42.450 we do have to mix the quantitative and qualitative.

1127 00:50:42.450 --> 00:50:44.940 And I think all of our projects at Kaiser

 $1128\ 00:50:44.940 \longrightarrow 00:50:47.010$ that are embedded research projects

1129 00:50:47.010 --> 00:50:48.570 that are a synthesis

1130 00:50:48.570 --> 00:50:51.660 of quality improvement activity and approaches

1131 00:50:51.660 --> 00:50:54.420 where we're trying to improve things in the near term

1132 00:50:54.420 --> 00:50:57.930 and implementation science and scientific approaches

1133 00:50:57.930 --> 00:51:00.569 where we're trying to generate scientific knowledge,

1134 00:51:00.569 --> 00:51:03.300 I think we almost invariably combine

 $1135\ 00:51:03.300 \longrightarrow 00:51:05.310$ quantitative and qualitative

1136 00:51:05.310 --> 00:51:07.290 as a way of, again, trying to understand

 $1137\ 00:51:07.290 \longrightarrow 00:51:08.640$ how the world works,

1138 00:51:08.640 $\rightarrow 00:51:12.240$ try to design the intervention, deploy it,

1139 00:51:12.240 --> 00:51:14.010 evaluate it early and often

1140 00:51:14.010 --> 00:51:16.680 in order to refine it and tailor it

1141 00:51:16.680 $\rightarrow 00:51:17.850$ and ultimately generate

1142 $00:51:17.850 \rightarrow 00:51:20.040$ the summative evaluation findings as well.

1143 00:51:20.040 --> 00:51:23.460 And I think we all need more guidance

 $1144 \ 00:51:23.460 \longrightarrow 00:51:26.130$ and more examples of how this is done

 $1145 \ 00:51:26.130 \longrightarrow 00:51:27.750$ because there are a lot of moving parts

1146 00:51:27.750 --> 00:51:30.030 and a lot of different factors to think of,

1147 00:51:30.030 --> 00:51:31.980 not only the REAM multiple dimensions,

 $1148 \ 00:51:31.980 \longrightarrow 00:51:34.470$ but the different kinds of data

1149 $00:51:34.470 \rightarrow 00:51:36.540$ and the different ways of understanding

1150 00:51:36.540 --> 00:51:38.670 and tracking the mechanisms of effect

1151 00:51:38.670 --> 00:51:41.340 and the intermediate or proximal outcomes

 $1152\ 00:51:41.340 \longrightarrow 00:51:43.500$ in addition to the distal outcomes.

1153 00:51:43.500 --> 00:51:44.970 So lots of challenges,

1154 00:51:44.970 --> 00:51:47.913 but lots of opportunity for innovation and creativity.

1155 00:51:51.750 --> 00:51:54.453 <v ->Anyone else that wants to ask you questions?</v>

1156 00:51:58.300 --> 00:52:01.140 While we're waiting to see, I have another question.

1157 00:52:01.140 --> 00:52:03.690 So you're probably familiar, Brian,

1158 00:52:03.690 --> 00:52:06.990 with Linda Collins' MOST approach

1159 00:52:06.990 --> 00:52:11.970 to developing and assessing or testing interventions

1160 00:52:11.970 --> 00:52:16.970 and her focus is also with complex multilevel interventions

 $1161 \ 00:52:17.940 \longrightarrow 00:52:19.530$ where there are the three phases.

 $1162\ 00:52:19.530 \longrightarrow 00:52:21.930$ But in the third phase,

 $1163 \ 00:52:21.930 \longrightarrow 00:52:25.113$ the third phase is kind of a traditional,

 $1164 \ 00:52:26.820 \longrightarrow 00:52:28.140$ fixed.

1165 00:52:28.140 --> 00:52:29.310 You call it manualized,

1166 00:52:29.310 --> 00:52:31.350 it doesn't necessarily have to just be a manual,

 $1167\ 00:52:31.350 \longrightarrow 00:52:32.520$ it could be other things,

1168 00:52:32.520 $\rightarrow 00:52:37.520$ but fixed set of components at certain levels

1169 00:52:37.620 --> 00:52:41.700 and that's kind of tested in a standard RCT-type approach.

1170 00:52:41.700 --> 00:52:43.800 And I'm wondering if you think

1171 00:52:43.800 --> 00:52:48.690 that the MOST design is useful in certain settings

1172 00:52:48.690 --> 00:52:52.680 or do you think that may
be that kind of approach

1173 00:52:52.680 --> 00:52:54.630 has kind of seen its better days

1174 00:52:54.630 --> 00:52:58.860 because of the fact that it doesn't take into account

1175 00:52:58.860 --> 00:53:02.520 sort of the contextual aspects and the need for adaptation.

1176 00:53:02.520 --> 00:53:04.080 But I know among other circles,

1177 00:53:04.080 --> 00:53:06.690 the MOST design is very popular

1178 00:53:06.690 --> 00:53:10.290 and even we've had training in our center in MOST

 $1179 \ 00:53:10.290 \longrightarrow 00:53:12.600$ and I'm currently discussing

1180 00:53:12.600 --> 00:53:15.810 a possible grant application with some investigators here

 $1181 \ 00:53:15.810 \longrightarrow 00:53:16.920$ who'd like to use MOST.

1182 00:53:16.920 --> 00:53:20.340 So I'm just wondering what your thinking is about that.

1183 00:53:20.340 --> 00:53:23.830 <v ->Yeah, so I think that approach I think is highly valuable.</v>

1184 00:53:23.830 --> 00:53:26.430 As our standard RCTs,

1185 00:53:26.430 --> 00:53:29.940 as long as we recognize that they need to be augmented

1186 00:53:29.940 --> 00:53:33.510 with additional kinds of data collection analysis activities

1187 00:53:33.510 --> 00:53:36.660 that try to understand the mechanisms of effect.

 $1188 \ 00:53:36.660 \longrightarrow 00:53:38.860$ But certainly and when I say

1189 00:53:40.070 --> 00:53:43.770 that RCTs and a focus on impact and outcomes

 $1190\ 00:53:43.770 \longrightarrow 00:53:47.850$ are not what we should be doing,

1191 00:53:47.850 --> 00:53:49.410 that's probably too extreme.

1192 00:53:49.410 --> 00:53:52.020 I think we obviously need to measure outcomes

1193 00:53:52.020 --> 00:53:55.020 and we need to use traditional quantitative methods,

1194 00:53:55.020 --> 00:53:56.640 but we need to augment them

1195 00:53:56.640 --> 00:53:59.160 and have an equal, in some cases greater focus,

 $1196\ 00:53:59.160 \longrightarrow 00:54:01.260$ on the mechanisms of effect.

 $1197\ 00:54:01.260 \longrightarrow 00:54:02.610$ And certainly, to try to get a handle

 $1198\ 00:54:02.610 \longrightarrow 00:54:04.440$ on some of the heterogeneity

 $1199 \ 00:54:04.440 \longrightarrow 00:54:06.330$ and some of the factors using MOST

 $1200\ 00:54:06.330 \longrightarrow 00:54:08.790$ and other approaches that Linda and others

1201 00:54:08.790 --> 00:54:10.830 have developed and advocated

 $1202\ 00:54:10.830 \longrightarrow 00:54:13.650$ I think is quite important and valuable.

 $1203 \ 00:54:13.650 \longrightarrow 00:54:15.090$ I just think that they're incomplete

1204 00:54:15.090 --> 00:54:16.050 and we need to make sure

1205 00:54:16.050 --> 00:54:19.680 we, again, have the accompanying process evaluation

 $1206 \ 00:54:19.680 \longrightarrow 00:54:21.753$ and mediation analysis and others.

 $1207\ 00:54:23.010 \longrightarrow 00:54:23.973 < v \longrightarrow That makes sense. </v>$

 $1208\ 00:54:26.220 \longrightarrow 00:54:27.183$ Anyone else?

 $1209\ 00:54:28.338 \longrightarrow 00:54:30.663$ Okay, so we have a question in the chat.

 $1210\ 00:54:33.180 \longrightarrow 00:54:34.710$ What are some other frameworks

1211 00:54:34.710 --> 00:54:36.930 you would consider reviewing

1212 00:54:36.930 --> 00:54:39.720 when considering a multi-component and multi-level study?

1213 00:54:39.720 --> 00:54:44.580 So presumably this question is other than REAM.

 $1214\ 00:54:44.580 \longrightarrow 00:54:45.570 < v \longrightarrow V < v \rightarrow I'm guessing < / v > v \rightarrow I'm guessing </ v \rightarrow I'm gue$

1215 00:54:45.570 --> 00:54:48.180 and if the person who asked it would like to elaborate

 $1216\ 00:54:48.180 \longrightarrow 00:54:50.250$ or if this is totally clear to you,

1217 00:54:50.250 --> 00:54:51.153 Brian. <v ->Yeah,</v>

 $1218\ 00:54:51.153 \longrightarrow 00:54:52.530$ let me give it a quick shot

1219 00:54:52.530 --> 00:54:54.990 and then you can elaborate if I'm missing a point.

1220 00:54:54.990 --> 00:54:57.630 But I think that CFIR of course,

1221 00:54:57.630 --> 00:55:00.520 which continues to be the go-to high level framework

1222 00:55:01.650 --> 00:55:03.090 is a way of identifying

 $1223 \ 00:55:03.090 \longrightarrow 00:55:05.370$ all the different categories of factors.

1224 00:55:05.370 --> 00:55:08.400 So multi-component, multi-level interventions

1225 00:55:08.400 --> 00:55:13.050 address multiple sources of barriers and factors

1226 00:55:13.050 --> 00:55:15.797 that influence the outcomes that we're interested in.

1227 00:55:15.797 --> 00:55:18.990 And CFIR is, in my mind, the best organizing framework

 $1228\ 00:55:18.990 \longrightarrow 00:55:22.080$ that gives us that sort of 50,000 foot level.

1229 00:55:22.080 --> 00:55:23.490 Then when we've identified

 $1230\ 00:55:23.490 \longrightarrow 00:55:26.790$ the different categories of factors,

 $1231\ 00{:}55{:}26.790 \dashrightarrow 00{:}55{:}29.730$ we may need to bring in accompanying frameworks.

 $1232\ 00:55:29.730 \longrightarrow 00:55:31.410$ So, behavior change wheel,

1233 00:55:31.410 --> 00:55:36.410 theoretical domains framework is quite useful in identifying

1234 00:55:36.720 --> 00:55:40.290 some of the physician-level behavioral factors.

 $1235\ 00{:}55{:}40.290 \dashrightarrow 00{:}55{:}43.710$ So I think it does depend on what CFIR tells us.

 $1236\ 00:55:43.710 \longrightarrow 00:55:45.630$ If many of the barriers and influences

 $1237\ 00:55:45.630 \longrightarrow 00:55:48.120$ are regulatory or community,

1238 00:55:48.120 --> 00:55:50.730 then we may need to bring in political science frameworks

 $1239\ 00:55:50.730 \longrightarrow 00:55:52.770$ or other bodies of theory.

1240 00:55:52.770 --> 00:55:56.220 But we start with CFIR to get sort of the lay of land

1241 00:55:56.220 --> 00:56:00.090 and then we identify frameworks for subsets of factors.

1242 00:56:00.090 --> 00:56:02.250 And to me, REAM and I think to most of us

 $1243 \ 00:56:02.250 \longrightarrow 00:56:04.200$ is more of an evaluation framework.

 $1244\ 00:56:04.200 \longrightarrow 00:56:07.560$ It doesn't really give us the theory,

1245 00:56:07.560 --> 00:56:08.880 but it directs our attention

 $1246\ 00:56:08.880 \longrightarrow 00:56:11.310$ to the different categories of outcomes

 $1247 \ 00:56:11.310 \longrightarrow 00:56:13.560$ that we need to take into account

 $1248 \ 00:56:13.560 \longrightarrow 00:56:16.263$ and measure and attempt to improve.

1249 00:56:18.090 --> 00:56:20.700 <v ->Great, that was a very clear answer.</v>

 $1250\ 00:56:20.700 \longrightarrow 00:56:21.533$ Thank you so much.

 $1251\ 00{:}56{:}21{.}533 {--}> 00{:}56{:}24{.}960$ And we're at time, so I think we'll just thank our speaker.

1252 00:56:24.960 --> 00:56:26.760 Hopefully we'll see him again in person

1253 00:56:26.760 --> 00:56:28.920 sometime in the near future

1254 00:56:28.920 --> 00:56:32.760 and we look forward to some of our one-on-one meetings today

 $1255\ 00:56:32.760 \longrightarrow 00:56:34.710$ and in a few of the subsequent days.

1256 00:56:34.710 --> 00:56:37.620 So thank you so much, Dr. Mittman.

1257 00:56:37.620 --> 00:56:39.490 <v ->Okay, thank you all.</v> <v ->Bye everybody.</v>

1258 00:56:39.490 --> 00:56:40.533 <v ->Okay, by e-by e.</v>